

**COLORADO SUPERVISED LENDER LICENSE
PERSONAL AFFIDAVIT**

To be completed by each individual owner, member, partner, and primary corporate executive officer. **A separate affidavit is to be filed by each person and each affidavit must be submitted with original signatures.**

Statements made herein are made under oath. Omissions may be construed as intentional failure to disclose a material fact and may be grounds for rejection of an application [see § 5-2-303(1)(g), C.R.S.]

Supervised lender's name (corporate, LLC, partnership, or proprietor's name):

Name and title of person completing affidavit:

Name Title

Home address:

(Street address) (City) (State) (Zip code)

Date of birth: _____ Last Four of SSN: _____

Employment History

Provide a complete record of employment and business associations for the last ten years, including all companies in which you have or had an interest as an officer, director, or voting stockholder. Account for all periods of time. Periods of unemployment should be indicated with dates. Include your position and a brief description of duties. Attach additional pages if necessary. A current resume containing the requested information may be substituted.

Dates (MM/YY): _____ TO _____

Employer: _____

Position title: _____

Duties (brief description): _____

Dates (MM/YY): _____ TO _____

Employer: _____

Position title: _____

Duties (brief description): _____

Dates (MM/YY): _____ TO _____

Employer: _____

Position title: _____

Duties (brief description): _____

1. Have you ever been convicted of or entered a plea of guilty or nolo contendere to theft, concealing stolen goods, forgery, fraud, offenses related to the Uniform Commercial Code or financial transaction devices, or any similar crime in any federal, state or local jurisdiction?

Yes _____ No _____ If Yes, provide details below:

2. Are there any pending criminal actions by any federal, state or local governmental entity charging you with having committed to theft, concealing stolen goods, forgery, fraud, offenses related to the Uniform Commercial Code or financial transaction devices?

Yes _____ No _____ If Yes, provide details below:

3. Have you or any organization during a time when you were associated with it as owner, partner, member if an LLC or association, officer, director, or principal employee ever had a business license or registration suspended, canceled, revoked, or subjected to any other disciplinary action (whether or not a final order or judgment was entered) including a stipulation, final consent order, judgment, or administrative order by any governmental entity, including Colorado; had an application for such license or registration denied; or withdrawn such an application to avoid a denial or any related request?

Yes _____ No _____ If Yes, provide details below:

4. Have you, or any organization during a time when you were associated with it as an owner, partner, member if an LLC or association, officer, director, or principal employee, been involved in any voluntary or involuntary bankruptcy, receivership, or insolvency proceedings, or had any unsatisfied judgments or liens against you or such an organization?

Yes _____ No _____ If Yes, provide details below:

5. Have you ever been held liable in any civil fraud action in any judicial or administrative proceeding by a federal, state, or local governmental entity?

Yes _____ No _____ If Yes, provide details below:

Statements made herein are made under oath. False statements may be punishable as second-degree perjury.

Signature

Date

Print name

Title/Position