

I) BACKGROUND INFORMATION: SPECIAL PROSECUTION UNIT

The Attorney General's trial prosecution efforts (in addition to the litigation that is conducted by our dedicated Financial Fraud and Medicaid Fraud Units) are focused in multiple areas: 1) Complex Crimes, 2) Environmental Crimes, 3) Gang Prosecution, 4) Prosecution Assistance, 5) Auto Theft and 6) the Violent Crime Assistance Team (VCAT). Of these duties, the "Special Prosecutions Unit" (hereinafter SPU) dealt with all six areas. The SPU is also involved in several outreach programs associated with mitigating gang activity, preventing school violence and responding to child abductions.

The most accurate review of the Special Prosecutions Unit is illustrated by an individual review of each of six subject areas:

1. Complex Crimes

The Complex Crimes Unit prosecutes cases that are: 1) referred to us because of our specialized knowledge and experience, or 2) multi-jurisdictional cases investigated through the use of the Statewide Grand Jury.

This Unit derives its authority to investigate and prosecute from three sources: a) statutory authority granted directly to the Attorney General; b) appointment by a local District Attorney to act as a Special Deputy District Attorney; and c) gubernatorial order.

Complex criminal matters required the efforts of four attorneys and three investigators. Several areas of expertise include racketeering cases, domestic terrorism, burglary and robbery cases, identity fraud, large check fraud schemes, narcotics trafficking rings (including the illegal distribution of heroin, cocaine, methamphetamine and prescription drugs), mortgage/lending institution fraud, and tax fraud.

One of the investigators and one of the prosecutors allocate a portion of their time to gang prosecutions, as needed. The remaining complex crime prosecutors focused on the financial oriented and narcotic crimes associated with the gang activities and organized criminal enterprises.

2. Environmental Crimes

The primary function of the Environmental Crimes subspecialty is to investigate and prosecute environmental crimes occurring in the State of Colorado. The Unit also provides investigative and prosecutorial expertise and support to statewide law enforcement efforts against entities committing environmental crimes in the state. The Unit also coordinates a state/federal task force for the purpose of engendering an interrelated effort to contend with environmental crimes affecting Colorado. Members of the Unit also work with the Colorado Department of Public Health and Environment and the United States Environmental Protection Agency to increase awareness and recognition of environmental crimes through training programs directed at local

regulators, law enforcement, and other agencies intimately related to the management of Colorado's resources.

The Unit derives its authority from statutory authority granted directly to the Attorney General and special appointment by District Attorneys. The Unit consists of one Attorney General Prosecutor, a Special Assistant Attorney General, as needed, from the Environmental Protection Agency, an Attorney General Investigator, an analyst and two special agents from the Environmental Protection Agency's Criminal Investigations Division. All costs associated with the EPA Attorney and Investigator are borne by the EPA. The Unit investigates and prosecutes environmental crimes regardless of media (i.e. hazardous waste, hazardous substances, water and air) using existing environmental statutes and other crimes enumerated in the criminal code when applicable.

3. Gang Prosecution

Gangs are a continuing problem in Colorado. The Gang Prosecutions (GP) emphasis began in 2000 with a mission to decrease the impact gangs have on the community. Since the inception of this emphasis, we have indicted over 200 gang members on over 300 felony charges. The majority of these gang members were indicted on racketeering-related charges. These prosecutions have all resulted in felony convictions and dozens of years in prison sentences. GP works to accomplish that goal through collaborative efforts with other agencies. GP prosecutes gang-related multi-jurisdictional crimes. Since the GP started, many gang members have been successfully indicted and convicted under the Colorado Organized Crime Control Act ("COCCA"). Including these COCCA matters, these investigations often involve violence, drug trafficking, and human trafficking.

The COCCA laws are similar to federal racketeering laws. Racketeering cases are complex, and demand a lot of resources to adequately investigate and prosecute. Investigations often requires months of collaborative work with numerous agencies before sufficient evidence exists to prosecute these cases. The complexity of these large, multi-jurisdictional cases demand prosecution by experienced attorneys.

In addition to investigation and prosecution, the GP attorneys should be involved with community outreach. An attorney from the AG's Office serves as a legal advisor to the Colorado Gang Advisory Board ("COG"). The other COG board members are representatives from the Colorado Bureau of Investigation and Colorado law enforcement agencies. COG created and maintains a gang database that is used by law enforcement statewide. More recently, an attorney from the AG's Office has been appointed to the Human Trafficking Task Force where the unit attorney has assumed a profound leadership role. The GP attorneys also provide educational outreach and training to other prosecutors, police officers, school officials, mental health professionals, law enforcement command staff and corrections officials.

4. Prosecution Assistance

Within the Criminal Justice Section, the newly established Prosecution Assistance Unit was formed to combine the existing Foreign Prosecutions, Victim Witness, Justice Review Project, wrongful incarceration compensation petition reviews (pursuant to HB 13-1230), with new resources allocated by the General Assembly to create a Marijuana Team.

Foreign Prosecutions

Each year fugitives flee Colorado after committing homicides. State records reveal 186 currently active homicide arrest warrants. Nearly 21% of these warrants reveal that the murder fugitive fled to Mexico to avoid prosecution in Colorado. The CBI database indicates 39 murder fugitives believed to be Mexican nationals returned to their country of origin and currently are residing in the Republic of Mexico in an effort to avoid apprehension and justice in Colorado.

There are two avenues available to Colorado prosecutors when attempting to prosecute fugitives that have fled to Mexico. First, Colorado may attempt to extradite the fugitive back to the United States for prosecution. Extradition treaties exist between Mexico and the U.S. However, the government of Mexico has been reluctant to extradite Mexican nationals back to the United States. There have been limited extraditions of Mexican nationals for serious offenses and certain aggravated murders.

Second, Colorado may have the option to prosecute the fugitive in Mexico under Article IV of the Mexican Federal Penal Code. This option, provided by the government of Mexico, is to try their citizens in Mexico for crimes committed in the U.S. If convicted, the offenders serve their sentence in a Mexican prison. In 2001, the General Assembly granted funding for a bilingual investigator at the Attorney General's Office to be the coordinator for Colorado Article IV prosecutions. Mexico's Article IV requires the case be submitted by either the state or federal attorney general. The Colorado Attorney General's Foreign Prosecution Unit investigator works both with the Mexican federal attorney general's Office (PGR) and Colorado law enforcement to facilitate appropriate Article IV filings and prosecutions of Colorado cases.

During FY 2013-2014, the Attorney General's Foreign Prosecution Unit prepared two murder cases for Article IV prosecution in Mexico. In addition, the FPU obtained three Mexican federal warrants for homicides committed in Adams, Mesa and El Paso Counties. FPU also assisted in several requests regarding fugitives in Mexico or Mexican detainees and or victims/witnesses needed for trial in Colorado. Assistance was provided to eight counties as well as nine sheriff/police departments with witnesses and/or persons of interest in criminal investigations.

Additionally, FPU receives requests from Mexico to locate and detain murder fugitives seeking refuge in Colorado. With the cooperation of ICE and other law enforcement, last

year FPU provided assistance in locating individuals wanted in the Mexican states of Chihuahua, Michoacán, Nayarit, Sonora, and Zacatecas.

Marijuana Team

In SB 14-215, the General Assembly appropriated \$456,760 to the Criminal Justice Section, for a team consisting of an attorney and one support staff to consolidate expertise in the area of legalized marijuana, and to train on the issues surrounding legalized marijuana.

5. Auto Theft

The Auto Theft team comprises 2.0 FTE positions, which are funded by a grant through the Colorado Auto Theft Prevention Authority (CATPA). The Auto Theft team provides investigative and prosecutorial resources for combating complex statewide crimes which center on automobile theft. The general goals of the Auto Theft Team are: (a) to utilize the State Grand Jury, search warrants, and other criminal investigative tools to investigate potential complex multi-jurisdictional automobile centered cases; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions, incarceration of offenders where appropriate, to seek restitution, fines, and penalties; and, (c) to protect consumers and to minimize losses through the deterrent effect of prosecuting violators.

6. Violent Crime Assistance Team

The Violent Crime Assistance Team (VCAT) is a two-attorney, one-investigator unit. VCAT was created by the Legislature in fiscal year 1994-1995 to assist District Attorneys by providing additional investigative and prosecutorial resources in major violent crime matters, with an emphasis primarily being on homicide cases. These homicide investigations may include cold-cases, and death-penalty-eligible homicides. VCAT is uniquely designed to assist all of the state's District Attorneys to effectively analyze and prosecute these significant cases. Along with providing legal assistance in the prosecution of cases, the Unit has provided investigator assistance as well. VCAT's workload is dictated by the incidence of homicides, as well as District Attorney requests for assistance in other, complex violent crime cases.

The team has handled capital appeals in both the state and federal appellate courts, and post-conviction proceedings involving death row inmates. VCAT has performed a primary role in responding to legal and logistical needs during the execution process and is the only prosecutorial entity in Colorado, which provides expertise on complex violent crimes, including homicides from initial investigation through execution.

The VCAT attorneys and investigator only become involved in a case upon the request of the local District Attorney and the approval of the Attorney General. As anticipated,

requests by state prosecutors to VCAT for consultation or other assistance was greater than the assistance that could be provided.

II) PRIOR YEAR LEGISLATION

SB 14-215 *Concerning the Disposition of Moneys Collected by the State in Connection with the Legal Marijuana Industry, and, in connection therewith, Making an Appropriation.* The General Assembly appropriated \$456,760 to the Criminal Justice Section, for a team consisting of an attorney and one support staff to consolidate expertise in the area of legalized marijuana, and to train on the issues surrounding legalized marijuana.

HB 13-1230 *Concerning Compensation for Persons who are Exonerated of their Crimes after a Period of Incarceration...*, This bill creates a state compensation program for persons who are found actually innocent of felony crimes after serving time in jail, prison, or juvenile placement. This bill appropriated \$230K and 1.4 FTE to the Department of Law to address the requirements of this bill.

III) HOT ISSUES:

- 1) Last year, the Special Prosecutions Unit, and its Violent Crime Assistance Team, provided more assistance than ever before in its history. Currently, VCAT is actively involved in nine of the twenty-two judicial districts on as many as twelve separate cases. In addition to the historical involvement on death penalty cases, VCAT is actively involved in five different homicide cases that are four different judicial districts. Special Prosecutions has also agreed to serve as special prosecutors in two different Rule 35(c) cases in homicide cases that are from two different judicial districts in southwest Colorado. As well as its active participation on complex violent crimes, VCAT also is a consulting resource for prosecutors throughout Colorado. For example just one of the attorneys provided assistance 78 times (up from 66 in the previous year) to prosecutors in 14 different judicial districts, to the Colorado District Attorneys Council, and to other states including Missouri and Nevada. This one of kind, specialized assistance was addition to this attorney's regular and ongoing case assignments.

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

1. Complex Crimes

Objective: To utilize the Statewide Grand Jury to a greater extent and obtain at least 10 felony indictments.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Grand Jury investigations initiated in FY. (Individuals)	93	86	100	100
Indictments obtained in FY (Individuals)#	62	82	70	70

Objective: To open 40 new investigation files, file 25 new cases in court and obtain at least 15 felony convictions.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Total new investigations opened (Individuals)	102	107	120	120
Total new cases filed (Individuals)	88	87	95	95
Felony convictions obtained (Individuals)	126	61	75	75
Misdemeanor convictions obtained (Individuals)	2	1	6	6
Cases Closed	90	85	80	80

Objective: To obtain restitution, fines and cost recoveries in appropriate cases

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
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Fines, costs and restitution ordered.	\$3,216,846.73	\$ 3,684,267.02	\$2,000,000	\$2,000,000
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Objective: To participate in multi-jurisdictional case-driven task forces and information exchanging work groups.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Intergovernmental Cooperation	15	25	12	12

Objective: To continue in multi-jurisdiction case-driven task forces and information exchanging work groups.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Training sessions given.	31	27	30	30

2. Environmental Crimes

Objective: Maintain the effort of the Environmental Crimes prosecution emphasis to work in collaborative effort with local law enforcement, local emergency response personnel, District Attorneys, the Colorado Department of Public Health and Environment and the U.S. Environmental Protection Agency.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Obtain federal funding and/or federal support as part of a cooperative state/federal criminal enforcement effort to combat environmental crimes within the borders of the State of Colorado	Yes	Yes	Yes	Yes

Objective: To enforce compliance with existing environmental laws and regulations through investigation and prosecution of environmental crimes.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
New investigations opened	28	30	30	30
New cases filed	14	6	15	15
Felony convictions	9	5	10	10
Cases closed	24	29	25	25
Jury trials	1	0		
Court trials	0	0		

Objective: To increase the State of Colorado's participation in cohesive state and federal effort to combat environmental crimes.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Increase membership participation in state/federal task forces and unified state environmental programs	4	11	4	4

Objective: To increase awareness of environmental criminal activity within the State of Colorado by conducting statewide training.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Conduct local/state/federal awareness training to entities concerned with Colorado's environment	11	19	12	12

3. Gang Prosecution*

Objective: To prosecute gang members, with the State Grand Jury, for multi-jurisdictional criminal activities.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Gang* members investigated	72	95	100	100
Gang members indicted	65	49	90	90
Felony convictions obtained for gang members**	113	16	50	50
Number of years of Department of Corrections**	328	71	200	200
Trials	7	1		

* A "Gang" means a group of three or more individuals with a common interest or bond and whose activity is characterized by criminal or delinquent conduct.

** The timing of individual being convicted of a felony usually does not occur at the same time that the individual is sentenced. For example, if a defendant is convicted of a felony crime in May or June (end of a Fiscal Year) then the sentence will likely be imposed during the beginning of the next Fiscal Year.

4. Foreign Prosecution

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Active Cases	16		14	14
Article IV cases filed in Mexico	1	2	2	2
Extraditions/assists	19		12	12

5. Auto Theft

Workload Measures		Actual FY13	FY 14 Actual	FY 15 Estimate	FY 16 Request
Measure 1.1 Criminal Investigations Opened (Individuals)	Target	4	4	4	4
	Actual	3	3		
Measure 1.2 Criminal Cases Filed (Individuals)		9	7	10	10
Measure 1.3 Jury trials		1	1	3	3
Measure 1.4 Convictions Obtained		8	9	13	13
Measure 1.4 Restitution Ordered					
	Actual	\$552,657.47	\$13,341.36		
Measure 1.6 Publicize Prosecutions	Target	4	1	4	4
	Actual	2	1		

6. Violent Crime Assistance Team

Objectives: The objective of the Unit is to assist local District Attorneys in the investigation, evaluation, and prosecution of homicides and serious violent crimes. If the death penalty is sought, the Team provides prompt and effective assistance to the local District Attorney in filing and arguing motions, and trying cases, as requested by the local jurisdiction.

Workload Measure	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Cold Case and Active Homicide/Serious Violent Crime Assistance (Special Deputy)	7	16	15	15
Cold Case and Active Homicide/Serious Violent Crime Assistance (Investigator)#	12	29		
Capital Case Assistance	6	10		
Inquiries from Prosecutors Answered, Number of Judicial Districts#	85 from 15 Judicial Districts	86 from 17 Judicial Districts		
Lectures, Classes, Practicums, Given by Members of Unit#	24	25		
Task Force; Review Teams#	3	4		

I) BACKGROUND INFORMATION: INSURANCE FRAUD UNIT

Colorado residents pay millions of dollars annually in insurance premiums. When insurance fraud in its many varied forms occurs, those costs are not only borne by the insurance companies but they are passed on to Colorado citizens in the form of higher premiums and insurance costs. Typical criminal conduct in this area involves staged automobile accidents, theft of premiums, fraudulent claims, contractor fraud and overbilling for services. The Insurance Fraud Unit has been tasked with the investigation and vigorous prosecution of criminal offenses relating to insurance fraud. Charges often involve crimes such as theft, forgery, conspiracy counts and in some instances racketeering. The Unit now consists of four attorneys, six investigators, one full time paralegal, one half time paralegal, and half of the time of an administrative assistant. This Unit is uniquely situated to handle insurance fraud cases and the staff is highly regarded statewide due to their expertise in this area. Staff of the Insurance Fraud Unit share their expertise in this arena and provide assistance to other investigators and law enforcement throughout the state.

The Insurance Fraud Unit is funded by an industry assessment through the Division of Insurance of the Colorado Department of Regulatory Agencies. The Insurance Fraud Unit provides investigative and prosecutorial resources for combating insurance fraud statewide. The general goals of the Insurance Fraud Program are: (a) to investigate allegations of insurance fraud by utilizing the appropriate tools available to members of the unit including the Statewide Grand Jury, search warrants and other investigative techniques; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions and just sentences of offenders where appropriate. This includes seeking restitution, fines, and penalties where appropriate; (c) to protect Colorado insurance consumers and to minimize losses to Colorado citizens; and (d) to make the public aware of the Unit's prosecutorial actions in order to educate and generally deter insurance fraud.

During FY14, the Unit continued to see a large number of fraud referrals. Most of these referrals were channeled to the Unit by the Colorado Division of Insurance and the National Insurance Crime Bureau (NICB). In addition, the Unit investigated and responded to a number of citizen complaints as well. The Unit continues to work large and complex cases both internally and in conjunction with other law enforcement and administrative agencies. In the last fiscal year, the Unit conducted several large and complex investigations in the Statewide Grand Jury and obtained grand jury indictments.

In FY14, the Unit opened 126 new investigations after screening all of the case referrals. The Unit filed a total of 36 new cases in Colorado courts. Cases were filed in Arapahoe, Denver, Douglas, Eagle, El Paso, Huerfano, Jefferson, Larimer, Mesa and Weld counties. In FY14 the Unit recorded the following number of cases per category among those investigated:

1. 5 health and accident insurance,
2. 5 insurance agents/brokers,
3. 60.5 automobile insurance,
4. 5 commercial insurance,
5. 28.5 homeowners insurance,
6. 4 insurance billing,
7. 1 life insurance,
8. 15 workers' compensation insurance,
9. 2 miscellaneous cases.

During FY14, the Unit supervised the restitution payments of 56 defendants on probation for insurance fraud-related crimes. \$251,975 in restitution was collected in FY14. Additionally, the Unit achieved new restitution orders totaling \$3,204,781.

Noteworthy cases for FY14 include the following:

Chiniee Carter: Pleaded guilty to stealing hundreds of thousands of dollars by filing fraudulent medical claims with several insurance companies. The ring would submit forged medical bills to insurance companies claiming injuries from motor vehicle accidents as well as 'slip and fall' accidents. Carter would then claim her children were badly injured, based on the forged medical bills, which caused the insurance companies to pay these false claims with inflated dollar amounts. Between 2007 and 2012, numerous claims were filed. Carter recruited family and friends to assist in her fraudulent behavior. Carter plead guilty to the Colorado Organized Crime Control Act as well as forgery and theft. She faces up to a 16-year sentence to the Department of Corrections.

Briana Nguyen: In late 2010, the Colorado Attorney General's Office received information that Briana Nguyen was involved in several vehicle accident / bodily injury claims involving several different insurance companies. Her claims ran the gamut from stolen and damaged property claims, to vehicle accident / bodily injury claims, to claims for essential services and lost wages. Briana Nguyen's wrongdoing spanned decades. The investigation determined that Briana Nguyen had been making false claims related to vehicle accidents / bodily injuries dating back into the mid-1990's. She carelessly recruited her young children and ex-husband as conspirators

in her criminal behavior. A grand jury investigation was launched and resulted in a multiple count indictment. After a two week jury trial Nguyen was convicted of multiple felony counts. She was sentenced to jail and probation.

James Ahlin: Ahlin was an insurance producer in Mesa County. He accepted insurance policy premiums from clients and then issued fraudulent liability insurance certificates to industrial workers and pocketed the money. Ahlin plead guilty to forgery. In addition, based upon the conviction Ahlin lost his insurance producers license and will no longer be able to work in the industry.

The Unit continues to see a large number of false insurance claims across the spectrum of insurance types. The Unit still sees a large number of staged accidents of motor vehicles. By also working with the National Insurance Crime Bureau, the Unit leverages its unique expertise to initiate investigations based upon analysis of crime patterns and the assimilation of insurance data. Many times insurance crimes go unnoticed by individual insurance companies because the claim losses are spread out among different companies. The Insurance Fraud Unit is changing that.

The Unit has been unable to prosecute a percentage of case referrals due legal and industry challenges. Increased dollar thresholds for the crime of theft allowed a number of cases involving bad actors to go unprosecuted. In addition, the continued digitalization of the insurance industry means that crimes that could once be prosecuted with the crime of forgery could no longer be prosecuted. The Unit worked in collaboration with the insurance industry and other stakeholders to propose a new insurance fraud crime. The Colorado Legislature passed SB92 this year now criminalizing specifically insurance fraud. This new and powerful tool will genuinely aid the Unit to better find and prosecute this crime that costs consumers millions annually.

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

Workload Measures		Actual FY12	Actual FY13	Actual FY 14	Estimate FY15	Request FY16
Measure 1.1 Criminal Investigation Opened	Target	80	80	80	80	80
	Actual	74	116	126		

Measure 1.2. Criminal Cases Filed	Target	20	20	20	28	33
	Actual	20	28	36		
Measure 1.3 Jury trials	Target	2	2	2	2	2
	Actual	1	0	2		
Measure 1.4 Total Number Defendants Convicted of a Crime	Target					
	Actual			39		
Measure 1.4 Total Number Convictions Obtained	Target	22	22	22	22	22
	Actual	28	21	72		
Measure 1.4 Restitution Ordered	Target	\$450,000	\$450,000	\$450,000	\$250,000	\$250,000
	Actual	\$648,347	\$3,162,077	\$3,204,781		

Performance Measures

1. Complex and/or multi-jurisdictional fraud investigations and prosecutions

Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to fraud relating to insurance which local jurisdictions would be unable to effectively handle.

Performance Measures		Actual FY12	Actual FY13	Actual FY 14	Estimate FY15	Request FY16
Measure 1.1 Investigate multi-jurisdictional insurance fraud cases in the grand jury, and obtain indictment where appropriate.	Target	4	4	4	4	4
	Actual	0	9	7		
Measure 1.2. Restitution actually collected pursuant to court order	Target	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000
	Actual	\$209,063	\$253,077	\$251,975		

Strategy: The Unit receives referrals from numerous sources. Fraud referrals often require substantial investigation, and some investigations take months or in rare cases even years. Many of the investigations result in charges. However, some of the fraud referrals once they are fully investigated do not result in criminal charges. This is a common part of the criminal investigation process and can be due to a variety of factors including a lack of provable criminal intent, jurisdictional issues, ambiguous documentation or inconsistencies or vagueness in the applicable rules.

The Unit endeavors to be expeditious and responsive when reviewing referrals, opening investigations, and bringing cases through the court system. The Unit will occasionally partner with outside law enforcement agencies to prosecute cases when appropriate.

The statewide grand jury is a powerful investigative tool exclusively available to the Colorado Attorney General's Office. The Unit had a number of complex and multi-jurisdictional cases that were submitted to the grand jury in FY 14. Indictments were obtained on all of these cases.

It is anticipated that there will continue to be significant insurance fraud cases submitted to the grand jury in FY 15. Some notable cases that resulted in grand jury indictments in the past year include:

- Two individuals worked in tandem to engage in various types of insurance and other fraud. The lead suspect was charged with violating the Colorado Organized Crime Control Act. The indictment charges the two men of defrauding citizens, pawn brokers and jewelry dealers by stealing and then reselling luxury items. The investigation began when a number of victims contacted law enforcement with information about felonious activities conducted by the two Aurora men. In addition, one suspect passed multiple large checks, totally in about \$150,000, on a closed bank account, and stole an estimated \$425,000 in jewelry, diamonds and watches from local dealers. The two suspects then conspired to resell the stolen goods through a pawn shop.
- Beginning in 2007 and proceeding into 2012, a suspect submitted numerous insurance claims for damage to his home and other structures on his property. He claimed damage to a fence, swimming pool, hot tub, appliances and other items in his house that was allegedly cause by power outages and surges, lightning strikes and theft. The claims were erroneous and did not occur. To perpetuate the fraud, the suspect forged numerous documents that were ultimately submitted to insurers. Sometimes he would take legitimate estimates from contractors and alter the date or marking it 'paid in full'. Losses were in the thousands to insurers.

Evaluation of Prior Year Performance: The significant increase in restitution ordered helps illustrate that the Unit is meeting the goal of prosecuting more serious cases.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table. Indicators show that the Unit has the ability to handle both routine cases and the more complex cases that are highly demanding of employee time.

2. Case openings, assignments, and processing

Objective: To quickly process referrals once they are received in Unit and, after initial review, continue the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

Performance Measures		Actual FY12	Actual FY13	Actual FY 14	Estimate FY14	Request FY15
Measure 2.1 Assign an investigator and attorney at the time of case opening	Target	80	80	80	80	80
	Actual	74	116	126		
Measure 2.2 Update case status reports monthly and provide reports to Division of Insurance	Target	12	12	12	12	12
	Actual	12	12	12		

Strategy: As the Unit's case load has varied from simple to more complex cases, it has become increasingly important for the Unit to promptly assign cases to the assigned investigators and attorneys, and to monitor and assess the progress of each case on a monthly basis.

Evaluation of Prior Year Performance: Assignments and monitoring have proceeded according to the standards set, and this has assisted the Unit in keeping its cases progressing satisfactorily.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table.

I) BACKGROUND INFORMATION: SECURITIES FRAUD UNIT

Colorado citizens are swindled out of millions of dollars each year through securities fraud. Securities fraud takes many forms including pyramid or “Ponzi” schemes, oil and gas investment schemes, and “fix-and-flip” housing scams, among others. The cases handled by the Securities Fraud Unit generally involve the prosecution of individuals who solicit and receive investor money for investment schemes that are not regulated. In addition, the Securities Fraud Unit has recently ventured into new territories such as securities fraud related to marijuana dispensaries. Our aging populations are often specifically targeted by those seeking to perpetrate investment crimes and the resulting losses to retirement funds and life savings can be catastrophic. In addition, due to the impending explosion of baby boom generation retirements the potential for losses is ever increasing. The Attorney General has been granted the authority to aggressively prosecute criminal violations relating to securities and securities fraud. The Office of the Attorney General is recognized statewide for its expertise on securities fraud matters and works many high profile cases. The Unit independently investigates, charges when warranted, and prosecutes securities violations statewide. The Securities Fraud Unit frequently utilizes the Statewide Grand Jury for these sophisticated and complex cases. The Securities Fraud Unit closely collaborates with the Colorado Division of Securities and Colorado law enforcement agencies to prosecute these crimes.

The Unit is made up of two attorneys, two investigators, a paralegal and an administrative assistant. This Unit has especially benefitted from the services of a forensic auditor to aid in both the investigation and prosecution of securities fraud. The Unit can move expeditiously on investigating cases with the expertise of the auditor and can better explain how money was used or misappropriated.

The Securities Fraud Unit is funded by an industry assessment through the Division of Securities of the Colorado Department of Regulatory Agencies. The Attorney General’s Office has original jurisdiction to both investigate and prosecute crime relating to securities. The Securities Fraud Unit provides investigative and prosecutorial resources for combating securities fraud statewide. The general goals of the Securities Fraud Program are: (a) to investigate allegations of securities fraud by utilizing the appropriate tools available to members of the unit including the Statewide Grand Jury, search warrants, and other investigative techniques; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions and just sentences of offenders where appropriate. This includes seeking restitution, fines, and penalties where appropriate; (c) to protect consumers and to minimize losses to victims; and (d) to make the public aware of the Unit’s

prosecutorial actions in order to educate and generally deter securities fraud violations.

II) MAJOR FRAUD CASES PROSECUTED BY THE UNIT

Clinton Fraley worked for several investment companies and then started his own investment firm called “Wealth by Design, Inc.” Fraley solicited friends and acquaintances he had developed to invest with this new firm. Without authorization, Fraley redeemed client retirement and other investment accounts from a mutual fund company where funds were held without the permission of the investors. He then physically intercepted the redemption checks at the homes of his clients and proceeded to forge these checks. He converted over \$500,000 of client money for his own use such as to purchase a townhome and an interest in an airplane. Fraley was convicted of securities fraud and was sentenced to twelve years in the Department of Corrections.

Jeffory Shields owned and operated Geodynamics Exploration, Inc., and Geodynamics, Inc., a Colorado company. Shields solicited money from investors by and through these companies. The investments offered by Shields promised high rates of return and made representations that the funds would be used for exploration and drilling of oil and gas wells. Shields had at least three oil and gas projects, one each in the name of Johnston’s Corner, Huskies, and Trumpeter, for exploration and drilling of oil and gas wells. In fact, most of the investor funds were not used for the purpose of exploration and drilling oil and gas wells. Losses to Colorado investors exceeded one million dollars. Shields solicited Colorado investors locally and from states including Nebraska, Texas, California, New York, New Jersey, Minnesota, Michigan, Illinois, Indiana, Oklahoma, Massachusetts, Tennessee, Hawaii, Washington, and Arizona. Combined, losses exceeded several million dollars. Shields was convicted of multiple counts of securities fraud in two separate cases. Sentencing and restitution are pending.

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

Workload Measures		Actual FY 12	Actual FY13	Actual FY14	Estimate FY15
Measure 1.1 Criminal Investigations Opened	Target	12	8	8	8
	Actual	6	18	12	
Measure 1.2. Criminal Cases Filed	Target	12	10	10	10
	Actual	8	10	6	
Measure 1.3 Jury trials	Target	2	2	2	2
	Actual	1	1	0	
Measure 1.4 Convictions Obtained	Target	10	10	8	8
	Actual	6	7	8	
Measure 1.5 Restitution Ordered	Target	\$5,000,000	\$5,000,000	\$5,000,0000	\$5,000,000
	Actual	\$11,023,182	\$4,283,094	\$7,113,232	

Statistics kept by the Unit indicate that the workload of the Unit is continuing to trend toward investigating and prosecuting fewer yet larger and more significant cases. The Unit's new restitution orders were made on behalf of 90 victims this year.

PERFORMANCE MEASURES

1. Complex and/or multi-jurisdictional fraud investigations and prosecutions

Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to securities fraud which local jurisdictions would be unable to effectively handle.

Performance Measures		Actual FY 12	Actual FY13	Estimate FY14	Request FY15
Measure 1.1 Investigate multi- jurisdictional	Target	6	6	6	6
	Actual	7	6	9	

Securities fraud cases in the grand jury, and obtain indictment where appropriate.					
Measure 1.2. Restitution actually collected pursuant to court order	Target	\$250,000	\$250,000	\$250,000	\$250,000
	Actual	\$182,928	\$135,859	\$295,261	

Strategy: The Unit receives referrals from numerous sources. The Division of Securities refers approximately 50% of the Unit’s cases to our office. Private attorneys, law enforcement and private citizens also refer cases to the Unit. Fraud referrals often require substantial investigation, and most investigations take months or years. Some of the fraud referrals, once investigated, do not result in criminal charges. This is due to various reasons, including lack of provable criminal intent, inconsistencies or uncooperative victims and witnesses, or statute of limitations problems when cases are brought to our attention years after the criminal behavior.

Evaluation of Prior Year Performance: The unit’s numbers were fairly similar for the past two years; while the case numbers are low, the sentences and restitution figures reflect the complexity and size of the cases prosecuted. However, the Unit has seen a significant number of criminal investigations opened. This is a reflection on greater cooperation with partner law enforcement and regulatory agencies. Additionally, Colorado victims across the Front Range are well represented in that cases prosecuted this year involve Denver, Broomfield, Douglas, Elbert, Jefferson, and Mesa counties.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table. Indicators show that the Unit has the ability to handle the more complex cases that are highly demanding of employee time.

2. Case openings, assignments, and processing

Objective: To quickly process referrals once they are received in the Unit and, after initial review, continue the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

Performance Measures	Actual FY12	Actual FY13	Estimate FY14	Request FY15
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Measure 2.1 Assign an investigator and attorney at the time of case opening	Target	12	12	8	8
	Actual	6	17	10	
Measure 2.2 Update case status reports monthly and provide reports to Division of Securities	Target	12	12	12	12
	Actual	12	12	12	

Strategy: As the Unit's case load and referrals is often fluctuating, monthly reports are created and sent to the Division of Securities and the Unit meets routinely to discuss ongoing investigations and cases to ensure cases keep moving forward.

Evaluation of Prior Year Performance: The Unit has seen an increase in the number of referrals this fiscal year from the Division of Securities and from other sources.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table.

D) BACKGROUND INFORMATION: APPELLATE DIVISION

Structure:

General fund unit headed by the Deputy Solicitor General.
34 attorney FTE's and 4 support staff.

Primary duties. The Division represents the prosecution when defendants challenge their felony convictions before state and federal appellate courts.

For the most part, the Division responds to appeals that are brought on behalf of convicted criminals, and thus has no control over the size of its incoming caseload. Most of the cases handled by the Appellate Division are in the Colorado Court of Appeals; the rest are in the Colorado Supreme Court and the federal district and appellate courts. For each case, an Appellate Division attorney must review the trial court record and the brief filed by the defense, do legal research into the defendant's claims, and file a response. In a small number of cases, the Appellate attorney will be filing the first (or opening) brief rather than a response. In FY 2014, Appellate Division attorneys filed 1149 opening and response briefs. On some appeals, the attorney must also argue the case before an appellate court (with the exception of state habeas cases, all Colorado Supreme Court cases are argued, as all result in opinions that are binding on the lower courts). Appellate attorneys conducted 124 oral arguments in FY 2014, an increase of 13% over FY 2013.

The Division must provide effective and ethical representation in every case and handle whatever issues are presented in as timely a manner as possible. At times, however, it must take the offensive and seek certiorari review in the Supreme Court when (1) the court of appeals issues an opinion that appears to be contrary to established law and/or would have an adverse impact on law enforcement; or (2) conflicting decisions from the court of appeals emphasize the need for clarification in particular areas of the law. These situations generally require extensive research and briefing, and will always result in oral argument.

The outcome of any given case may significantly affect how law enforcement authorities conduct searches and arrests; influence criminal trials and sentencing hearings throughout the state; or impact the state's Department of Corrections and probation, parole, and county Community Corrections programs. As such, each case must be given thorough and careful attention.

In order to do the best job possible, appellate and trial prosecutors must keep current on ongoing developments in criminal law and procedure. In addition to writing briefs and presenting oral arguments, Division attorneys condense the critical principles embodied in each published case, and classify and incorporate them into a digest that is transmitted weekly to the division's attorneys and to the Offices of the State's District Attorneys. This digest is available to state prosecutors through a web site posting that is updated monthly. Division attorneys also participate in internal training sessions on various legal issues and in training sessions for the DA's throughout the year and at the annual CDAC conference in September.

Critical issues. The Division’s obligation to effectively represent the interests of the People must be balanced with the need to process cases as quickly and efficiently as possible.

The Division officially “opens” a case when it receives an opening brief from the defense. In FY 2014, the Division opened 911 new appeals, an average of 76 new cases per month.

The Colorado Appellate Rules provide that a response (or answer brief) should be filed within 35 days after service of the opening brief. As of the end of FY 2014, the Division had 272 cases awaiting a response. Meeting a 35-day turnaround is impossible.

	Cases Opened	Briefs Filed by Division	Cases Resolved Other Ways	Backlog
FY 08	-	-	-	280
FY 09	1240	1029	87	395
FY 10	1152	1054	62	434
FY 11	1050	1021	66	398
FY 12	1171	894	67	608
FY 13	1018	885	163	564
FY 14	911	1149	149	272

The Division was appropriated an additional six attorney positions through an FY 14 decision item. Originally, the Division was anticipating reducing the need for these six new hires by four by FY 18. However, the Appellate Division of the Public Defender’s Office received ten (10) additional attorneys for FY 2015. The Division will be monitoring that impact on its workload to determine best resource needs moving forward.

Further reducing the backlog and reducing the length of time it takes to process our appeals must be the Division’s top priorities.

III) HOT ISSUES:

Appellate’s biggest challenge has always been how to keep pace with an unpredictable incoming caseload, while also trying to pare down the pending caseload. The state appellate courts (and particularly the Court of Appeals) have traditionally recognized the difficulties posed by our workload and have been generous in granting extra time to file our briefs. However, the court of appeals has caught up on its caseload, and has indicated that it wants to reduce the amount of time it takes for cases to pass through the appellate system. The Division agrees that it is to everyone’s benefit to have appellate cases resolved as quickly as possible, while giving those appeals the attention they deserve. As we chip away at our pending caseload, we can expect to see continuing pressure by the Courts for a faster turnaround on our appeals.

The United States Court of Appeals for the Tenth Circuit Court has concluded that “delay in adjudicating a direct criminal appeal beyond two years from the filing of the notice of appeal gives rise to a presumption that the state appellate process is ineffective. *Harris v. Champion*, 15

F.3d 1538, *1556 (10th Cir. 1994). Thus, in any federal habeas corpus cases we are involved in, we may have to overcome that presumption. The task is not impossible, but time devoted to overcoming the presumption is time not spent on other cases. Indeed, the time we spend “managing delays” (repeatedly requesting additional extensions of time and overcoming these presumptions) takes time away from work on substantive claims and has a snowball effect.

We have not yet had a conviction vacated in state or federal court because of inordinate delay on appeal, but each year we are faced with more such claims than in the past.

IV) WORKLOAD MEASURE:

Work on these appeals involves additional activities that vary by case, including monitoring case files; writing and responding to motions; conducting legal research; filing supplemental pleadings such as petitions for rehearing and petitions for certiorari; and preparing for and orally arguing the merits of the appeal before an appellate court(s). Oral arguments involve significant prep time, so an increase in arguments directly impacts brief production.

Workload Measure	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY16 Request
Incoming cases	1171	1018	911	1100	1200
Oral arguments	115	110	124	130	140

CORE OBJECTIVES AND PERFORMANCE MEASURES

Objective: Produce quality briefs appropriately tailored to the seriousness of the offense/appellate challenge while maintaining or improving success rate. As a performance measure, the most quantifiable indicator may be “Cases Resolved,” which reflects the number of briefs filed plus the cases decided by the Court of Appeals via its expedited docket (which issues opinions in simple cases without the need for an AG response) or otherwise resolved.

Performance Measure	Target	FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY16 Request
Briefs filed annually		894	885	1149	1000	
Cases resolved (i.e. total of briefs filed and cases		961	1048	1298	1000	

decided via expedited docket or otherwise resolved) annually						
Percentage of cases with a successful outcome on appeal annually	90%	91.2%	91%	91.3%	90%	90%

Performance Evaluation:

Over the past two years, the Division has met its goal of preserving at least 90% of the convictions challenged on appeal.

The addition of six attorney positions in FY 2014, hard work on the part of Division staff, the use of the experimental docket, and lower incoming numbers combined to produce a significant decrease in the backlog of cases awaiting answer briefs. At the end of FY 2013, the backlog stood at 564 cases; the Division reduced that number to 272 cases at the end of FY 2014, a reduction of over 50% (292 cases)!

Strategy:

The strategy of the Division is do whatever it can to resolve cases in a timely fashion while providing quality representation of the state's interests. Toward that end, it is critical that the Division reduce the backlog to a manageable level.

1. The Division was awarded six new attorney FTE for FY 2014, two permanent and four short term (three positions end in FY 2018 and one in FY 2017). With the additional resources garnered by the Public Defender's Office, the Division is assessing resource needs in out years.
2. Cases are channeled within the Division as efficiently as possible so that the best people for the job are working on particular cases. Many Division attorneys have developed special expertise, and to the extent possible, supervisors channel cases dealing with particular subject areas to those with expertise (few cases, however, ever consist of single issues). Resource materials (a brief bank, outlines, etc.) are compiled and updated to provide starting points and shortcuts for research, and senior staff provide mentoring and oversight so that junior staff get on the right track quickly and efficiently.
3. At the end of FY 2012, the Division worked with the Court of Appeals on a procedure for an "experimental docket" in which Division attorneys screened cases and filed abbreviated pleadings short of thorough briefs. This was designed to expedite the small percentage of cases that could be dealt with easily and effectively without full briefing, thereby increasing Division and court efficiency and expediting case processing. In FY 2013, 91 cases were resolved via the experimental docket; an additional 87 cases were so resolved in FY 2014. However, many of the judges on the Court of Appeals did not like this practice, as they felt it put them in the role of advocates rather than neutral adjudicators. In addition, it necessitated much more work by the Court's staff attorneys, which also did not work well for the Court. As a result, the Chief Judge discontinued the experimental docket at the end of the 2013 calendar year. The cases that would have been diverted to the experimental docket are now included the general caseload.
4. The Deputy Solicitor General has organized a working group consisting of representatives from the Appellate Division, the appellate court clerks, the Court of Appeals, the Public Defender's Office, and the Office of Alternate Defense Counsel to discuss matters of mutual concern and how we might streamline our interaction for maximum efficiency. The group meets every other month and has come up with a number of changes that have been beneficial to all involved.

I) BACKGROUND INFORMATION: VICTIM ASSISTANCE UNIT.

Under Colo. Const. Art. II, § 16a and Colo. Rev. Stat. §§ 24-4.1-302, *et seq.*, victims of certain crimes have the right to be informed of and present for each critical stage of the criminal justice process. To this end, law enforcement agencies are required to provide certain services to all victims of crime against persons. The Department of Law Victim Services is provided by 1 FTE, the Victim Services Coordinator. The Victim Services Coordinator carries out these duties for both the (Criminal) Appellate Division and the trial prosecutions within the Criminal Justice Section in the Attorney General's office. The Coordinator helps over 1200 victims each year. These duties entail providing status information, explanations, court accompaniment, referral, and liaison services to crime victims in Appellate, Special Prosecutions, Medicaid fraud, and, occasionally, capital cases. Also, under Sec. 18-3-417, when there is a disciplinary case involving a licensed professional who is accused of a sex offense, the Victim Services Coordinator advises the victim of the alleged offense of their right to pursue criminal and civil action and provides the victim with information about the Colorado Victim Rights Act.

The Coordinator provides status information, explanations, court accompaniment, referral, and liaison services to the victim as his or her case progresses through the "critical stages" of the criminal justice process. Depending on whether the case is an appellate or trial-level proceeding, the critical stages and nature of additional services vary. These services are non-duplicative of any other services in the state. The VSC enables crime victims and their families to keep informed about the legal events in their cases.

The Victim Services Coordinator works cooperatively with the Victim Assistance Coordinators in all of the District Courts in Colorado, with Victim Compensation, with the Victim Advocates in the Departments of Correction, Probation, Parole, and with community-based victim programs throughout the state.

II) PRIOR YEAR LEGISLATION

NA

III) HOT ISSUES

People v. Justin Taylor

The defendant was at home taking care of his six month old son and a two year old daughter while the mother was out seeking employment. When she returned home, the baby was unconscious and non-responsive. The mother called 911, but on the way to the hospital the baby died. An autopsy showed that he died of blunt force trauma causing brain injury. The defendant was convicted of reckless child abuse resulting in death and sentenced to 48 years in prison.

On direct appeal, the Court of Appeals agreed with the defendant that the court erred in failing to instruct the jury that the prosecution was required to prove beyond a reasonable doubt the causal link between the defendant's actions and the baby's fatal injuries. Based on this finding, the Court has reversed the defendant's conviction and sentence and has remanded the case for a new trial.

The Victim Services Coordinator has provided information about the appeal to the baby's mother. At the time of the court's opinion and the reversal of the conviction, the mother had moved with no forwarding address. The Victim Services Coordinator was able to locate her, inform her of the court's decision, and is currently giving her information, referrals to support services, and is helping the victim in the transition to the trial court for retrial.

People v. Michael Quinn Tate

16-year-old Michael Quinn Tate and his friend 17-year-old Michael Fitzgerald, burgled Fitzgerald's house. When Steven Fitzgerald, Michael Fitzgerald's father, appeared, he was shot and killed by Tate. Tate was ultimately tried as an adult and convicted of first degree felony murder and other charges. He was sentenced to Life Without Parole.

While his appeal was pending, the US Supreme Court decided *Miller v Alabama*, which held that mandatory Life Without Parole sentences imposed on juvenile offenders, like Mr. Tate, violated the Eighth Amendment's ban on cruel and unusual punishment. The Court of Appeals, accordingly, vacated Mr. Tate's sentence and remanded his case to the trial court for resentencing and otherwise affirmed his convictions.

The Colorado Supreme Court heard oral arguments on Mr. Tate's resentencing options on June 5, 2014, along with three other juvenile cases needing resentencing under *Miller v Alabama*.

The Victim Services Coordinator has been in contact with the large and concerned family during direct appeal and now during the sentencing issues being addressed. There have been two oral arguments thus far in this appeal, and the Victim Services Coordinator has accompanied family members to the court.

I) BACKGROUND INFORMATION: MEDICAID FRAUD UNIT

The Medicaid Fraud Control Unit (“MFCU”), authorized for 17 FTE positions, defends the financial integrity of the state’s Medicaid program and the safety of patients in Medicaid-funded facilities. The MFCU investigates and prosecutes fraud by providers against the Medicaid program and patient abuse in Medicaid-funded facilities throughout the state. It also pursues civil recoveries and damages against providers under the Colorado Medicaid False Claims Act, which became law on May 26, 2010. By law, the Unit is separate from and independent of the state agency (Colorado Department of Health Care Policy and Financing, “HCPF”) that administers Colorado’s Medicaid program. By federal law and State Executive Order D1787, the Unit has statewide investigation and prosecution authority, which occurs with the cooperation and assistance of the 22 local District Attorneys across the state.

The Colorado Medical Assistance Program (Medicaid) provides medical assistance to low income, disabled individuals, children and families in Colorado, paying over \$6 billion each fiscal year to over 10,000 participating Colorado Medicaid providers (such as nursing homes, physicians, psychologists and mental health therapists, dentists, pharmacies, laboratories, hospitals, clinics and durable medical equipment companies) on behalf of over 900,000 recipients enrolled in its various programs. Accordingly, Medicaid is one of the two largest items in the current Colorado state budget.

Colorado Medicaid is jointly funded by the federal and state governments at an approximate 50/50 split. The MFCU receives 75% of its funding from the federal government, but in most cases a full 50% of the monies recovered by the Unit are returned to the state. During FY 2014, the MFCU returned **\$9,441,306.04** in restitution and recoveries to the Medicaid program in Colorado. Recoveries are accomplished through criminal restitution orders, settlements with providers, and participation with other state MFCUs in civil and criminal litigation, termed “global” cases, over alleged fraud against state Medicaid programs nationwide.

This past fiscal year, under the False Claims Act, the MFCU obtained civil fraud recoveries to supplement its criminal authority. In FY 2014, the MFCU recovered **\$245,868.21** in civil recoveries for local fraud and overpayments unrelated to the global cases, far in excess of the state money appropriated for its civil unit¹.

A large majority of health care providers deliver reasonable and honest services, and bill appropriately. Fraud in the delivery of health care services has been estimated nationally at **3.0%** (testimony of the Inspector General of Health and Human Services before the Senate Special Committee on Aging, May 6, 2009). Recognizing that any amount is too much, it is the goal of the MFCU to effectively investigate, prosecute and to recover overpayments. Modern Medicaid fraud is typically a complex, multi-party (and sometimes multistate) white-collar crime which requires sophisticated investigative and prosecution personnel, who are well-trained and experienced in the white-collar crime arena.

¹ All of the civil recoveries were obtained through settlements. This past year, the nature of the Unit’s civil cases, both global and local, has evolved, and it appears that there will be fewer settlements and more litigated cases in the immediate future. Because of this, in order to continue to best protect the Medicaid program through appropriate enforcement of the law, up to and including litigation, the Unit will be requesting authorization to add another experienced attorney to its staff.

II) PRIOR YEAR and CURRENT YEAR LEGISLATION

During the legislative session which occurred during FY2013, the Colorado Legislature passed an amended Medicaid False Claims Act. The purpose of the amendments was to bring Colorado's statute into compliance with Federal standards; by doing so, Colorado would be entitled to an additional 10% (taken from the Federal government's share) of any recovery made under the Colorado Medicaid False Claims Act. During fiscal year 2014, the Medicaid Fraud Unit learned that the amended Medicaid False Claims Act had been reviewed by the Office of Inspector General/U.S. Department of Health and Human Services and found to be in compliance with the applicable standards. Because of this, Colorado will now receive the additional 10% of any recoveries made.

III) HOT ISSUES

Major fraud cases: In the past fiscal year, the MFCU has continued to investigate and prosecute medical supply and equipment cases with significant losses, and more such cases are under investigation.

False Claims: Since the passage of the Medicaid False Claims Act, the State of Colorado has been named as a party plaintiff in approximately 276 global cases, resulting in a sharp increase in the MFCU's case load and activity level. As mentioned above, the MFCU has also recovered substantial funds from local civil cases, some of which were generated within the MFCU and some of which were the result of referrals from outside sources.

Initiatives and directions: The MFCU has begun initiatives to combat certain types of fraud that are prominent in Colorado. These frauds include overbilling in home health and Home and Community Based Services (HCBS), upcoding of services by physicians and other professionals, and fraud in expense reporting in nursing home cost reports. The MFCU is reviewing home health and home-based services providers for potential fraud whenever their billed service dates coincide with recipients' hospitalization, and has opened numerous cases in fiscal year 2014. The MFCU has also been determining the cost report impact of any nursing home professional discovered to not have been duly licensed in their field, and demanding repayment and penalties from their employers when appropriate.

Affordable Care Act: With the United States Supreme Court case upholding major provisions of the Affordable Care Act, there is the potential for the act's implementation to have a major effect on the Unit's caseload, as the act may significantly increase the number of Medicaid recipients, with current rough estimates projecting a 15% increase in recipients. Even before the ACA ruling, CMS had predicted that the growth in Medicaid spending would outpace the growth of the U.S. economy over the next decade (CMS Press Release, October 17, 2008).

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

Workload Measures		FY 12 Actual	FY 13 Actual	FY 14 Actual	FY 15 Estimate	FY 16 Request
Measure 1.1 Criminal Investigation Opened	Target	45	45	45	45	45
	Actual	26	47	31		
Measure 1.1a Civil Investigation Opened	Target	50	50	50	50	50
	Actual		103	108		
Measure 1.2. Criminal Investigations Pending	Target	55	55	55	55	55
	Actual	48	58	45		
Measure 1.2a Civil Investigation Pending	Target		150	150	150	150
	Actual		192	202		
Measure 1.3. Criminal Cases Filed	Target	12	12	12	12	12
	Actual	12	15	5		
Measure 1.4. Convictions	Target	12	12	12	12	12
	Actual	19	10	10		
Measure 1.8. Total fines / Costs / Restitution Recovered (This includes all Civil & Criminal)	Target	\$450,000	\$3,500,000	\$3,500,000	\$3,500,000	\$2,500,000
	Actual	\$8,469,092.38	\$16,250,428.94	\$9,441,306.04		
Measure 1.9. Referred for program exclusions (Providers)	Target	12/60	12/60	12/60	12/60	12/60
	Actual	8/Indefinite	20/Pending	46/Pending		
Measure 1.10. Cases closed (Civil & Criminal)	Target	45	55	55	55	55
	Actual	105	115	131		
Measure 1.11. Patient Incident Reviews	Target	1,000	2,000	2,000	2,000	2,000
	Actual	3819	3,328	3,516		
Measure 1.12. Intergovernmental Cooperation (open non-global cases investigated jointly w/other agencies)	Target	22	15	15	15	15
	Actual	10	9	10		

N.B.: The dollar amount listed in Measure 1.8 reflects all recoveries, both civil and criminal, made by the Unit. Per estimates obtained from the National Association of Medicaid Fraud Control Units (NAMFCU), with whom the Unit coordinates its participation in global cases, the amount of dollar recoveries to be obtained through global cases over the next few years is expected to decrease markedly. While such estimates are not an exact science, they are worth noting, as the largest part of the Unit's recoveries over the past several years has been through global cases. Future years' recoveries have been adjusted to reflect both current trends and the NAMFCU estimates.

Under Measure 1.9, 46 individuals were referred for exclusions from the Medicaid program. The amount of time for which they will be excluded is pending.

Statistics kept by the MFCU indicate that the workload of the MFCU has increased gradually but steadily over the fiscal years. A further increase in the MFCU caseload is anticipated for FY15 with the Supreme Court's ruling pertaining to the Affordable Care Act.

V) PERFORMANCE MEASURES

1. Fraud Investigations and Prosecutions

Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to fraud in the administration of the Medicaid program, the provision of medical assistance, or the activities of providers of medical assistance under the State Medicaid plan.

SEE FOLLOWING TABLE

Performance Measure	Outcome	FY 13 Actual		FY 14 Actual		FY 15 Estimate		FY 16 Request	
		Actual	Change	Actual	Change	Actual	Change	Actual	Change
1.1 Open fraud investigations with substantial potential for criminal prosecution.	Benchmark	35		35		35		35	
	Actual	58	32	41	<17>				
1.2 Identify, collect overpayments or refer the matter to appropriate state agency for collection.	Benchmark	\$2,000,000		\$2,000,000		\$2,000,000		\$2,000,000	
	Actual	\$16,250,428.94	\$7,781,336.56	\$9,387,610.23	<\$6,862,818.71>				
1.3 To prosecute and convict providers who violate the criminal law by defrauding the Medicaid program.	Benchmark	9		9		9		9	
	Actual	10	10	10	0				
*Probation sentences (years)	Benchmark	10		10		10		10	
	Actual	30.5	2.25	19	<11.5>				
*Jail / prison sentences(days)	Benchmark	180		180		180		180	
	Actual	2,275.00	2,215.00	1,883	<392>				
*Useful public service (hours)	Benchmark	100		100		100		100	
	Actual	966	414	696	<270>				

Strategy: The Unit receives referrals from numerous sources. Fraud referrals often require substantial investigation, and some investigations take months or years. Many of the fraud referrals, once investigated, do not result in criminal charges. This is due to various reasons including lack of provable criminal intent, and inconsistencies or vagueness of applicable rules.

The Unit endeavors to be as quick and responsive as possible in receiving referrals, opening investigations, and bringing cases through the court system. When cases are not appropriate for criminal investigation, the Unit reviews them promptly for consideration of civil recoveries or refers them to other agencies and/or delivers information or assistance to the referring entity or person to assure that their concerns may be addressed.

The MFCU's case load increased significantly with the addition of the civil unit, both from new local civil cases and from the service upon the state of scores of civil *qui tam* cases based in federal courts throughout the country.

Evaluation of Prior Year Performance: The MFCU obtained almost as many convictions in FY14 as projected for this year.

Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing Unit caseload. Indicators show that the Unit is close to reaching the limits of its ability to handle both routine cases and those that are highly demanding of employee time. As such, there is a clear indication that additional staff, specifically an additional attorney, will be needed to allow the Unit to continue to function efficiently.

The need for an additional attorney is not simply a function of the ever-growing number of cases (and the number of active cases is projected to continue to grow as the Affordable Care Act is fully implemented), but also of the complexity of the cases. For the past decade, the vast majority of the Unit's monetary recoveries have been as a result of its participation in global settlements facilitated by the National Association of Medicaid Fraud Control Units (NAMFCU). With the exception of one case, which is pending a judicial ruling, **all** of these cases have settled; none has gone to trial. As the global case environment has matured, this is no longer true. NAMFCU has informed the respective state units that litigation is now anticipated in several of the pending *qui tam* (civil) investigations, and that the units will need to contribute attorney resources to this litigation if the Unit wishes to benefit from any monetary judgment obtained at trial. As currently staffed, the Unit would be unable to participate in many cases, due to a shortage of attorney staff, as the global cases are enormously time consuming, often requiring out of state travel and discovery responses involving tens of thousands of documents. By adding an additional experienced attorney, the Unit would be able to better address the pending litigation demands in its civil cases. Adding an additional attorney would also be beneficial to the handling of the Unit's criminal cases. While the number of criminal cases resolved has remained fairly constant the past few years, the past fiscal year has marked a dramatic increase in criminal cases which will be fully litigated. Criminal matters going to trial not only require the dedicated attention of the attorney to that case, but also require the assigned attorney to be absent from the office for extended periods of time. By adding an additional attorney to assist in both the criminal and civil cases, matters would be addressed more expeditiously and litigated cases would be handled more quickly.

2. Abuse Investigations and Prosecutions

Objective: To review complaints alleging abuse of patients in health care facilities receiving payments under the State Medicaid plan and in board and care facilities regardless of funding; and complaints of the misappropriation of patients' private funds in such facilities.

Performance Measure	Outcome	FY 13 Actual		FY 14 Actual		FY 15 Estimate		FY 16 Request	
		Incidents	Change	Incidents	Change	Incidents	Change	Incidents	Change
2.1 Open abuse investigations with a substantial potential for criminal prosecution or refer to appropriate government agency	Benchmark	6		6		6		6	
	Actual	8	2	4	<4>				
2.2 Criminally prosecute and convict those who violate the criminal law, particularly the "Wrongs to At-risk Adults" statute.	Benchmark	1		1		1		1	
	Actual	3	0	0	<3>				

Strategy: The Unit investigates thousands of occurrences of injury, endangerment, and wrongdoing in nursing homes and other facilities. These cases can be investigated and prosecuted by the Unit, but a substantially greater number are tracked through the system as they are prosecuted by other government agencies, usually the local District Attorney. As with fraud referrals, the Unit endeavors to be as quick and responsive as possible. Abuse cases are coordinated with local police and prosecutors and assistance is given as needed. In rare cases, a local agency will not accept an abuse case but the Unit is able to prosecute it independently.

Evaluation of Prior Year Performance: The Unit continues its work reviewing and investigating incidents of abuse and provides assistance and support to other law enforcement agencies. As mentioned, these cases are typically investigated by the local police and prosecuted by the local District Attorney, with the MFCU providing assistance as needed. This year, the Unit reviewed 3,516 allegations of abuse and other incidents in long-term care facilities.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing overall Unit caseload. The indicators do not demonstrate the number of cases for which the Unit provided expert assistance or consultation.

3. Criminal Case Openings, Assignments, and Processing

Objective: Process cases quickly in Unit and, where applicable, in court system by continuing the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

Performance Measure	Outcome	FY 13 Actual		FY 14 Actual		FY 15 Estimate		FY 16 Request	
		Incidents	Change	Incidents	Change	Incidents	Change	Incidents	Change
3.1 Assign an investigator, auditor and attorney at the time of case opening.	Benchmark	35		35		35		35	
	Actual	47	26	41	<6>				
3.2 Update case status report and do case review of each case periodically	Benchmark	4/4		4/4		2/2		2/2	
	Actual	4/4		4/4					

Strategy: As the Unit’s case load has increased steadily, it has become increasingly important for the Unit to promptly assign cases to the respective investigators and attorneys, and to monitor and assess the progress of each case periodically.

Evaluation of Prior Year Performance: Assignments and monitoring have proceeded according to the standards set, and this has assisted the Unit in keeping its cases progressing satisfactorily. In addition, the Unit has improved its pre-assignment evaluation of referred cases, providing a review of cases to be assigned, so that limited Unit resources may be utilized properly. Thus, the number of cases actually opened is far fewer than the number of cases reviewed.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing overall Unit caseload.

I) BACKGROUND INFORMATION: P.O.S.T. UNIT

Structure: The Colorado Peace Officer Standards and Training Board (P.O.S.T.) was established as a result of the enactment of federal legislation requiring equal protection by jurisdictions receiving federal funding (Title VII of the Rehabilitation Act of 1973).

The P.O.S.T. Board is composed of 20 members, of which three are statutory members: the Attorney General (Chairperson); the FBI Special Agent in Charge of the Denver Division of the Federal Bureau of Investigation; and the Executive Director of the Colorado Department of Public Safety. Seventeen members are appointed to 3-year terms by the Governor, and include one local government representative; one member of the general public; 6 active sheriffs; 6 active chiefs of police; and 3 line-level peace officers serving at the rank of sergeant and below.

Primary Duties: The P.O.S.T. Board is statutorily responsible for the approval, inspection, and regulation of all basic and reserve peace officer training academy programs: to include the development of the basic, reserve peace officer, refresher academy curriculums program curriculums; instruction methodology training programs; skills training programs (arrest control tactics, firearms, and law enforcement driving) and skills instructor programs. The Board's responsibilities also include enforcement of statutes and rules related to peace officer academy enrollment; ensuring peace officer applicants are not convicted criminals; reviewing variance applications; and taking timely revocation action against any certified peace officer convicted of a felony or certain misdemeanors. P.O.S.T. is required to establish the standards for the renewal of expired Colorado peace officer certificates.

P.O.S.T. Staff members work with the four (4) Subject Matter Expert (SME) Committees, which include the Curriculum SME Committee, and three skills disciplines (Firearms, Arrest Control Tactics, and Law Enforcement Driving), to improve training delivery, administer practical examinations to individuals seeking Colorado peace officer certification under reciprocity. Over the years, Colorado P.O.S.T. has joined with its counterparts from other states to develop a reciprocity process for the evaluation for out-of-state peace officer applicants.

P.O.S.T. also participates in the Peace Officer Certification Information System (POCIS), a service provided through the International Association of International Association of Directors of Law Enforcement Standards and Training (IADLEST) and enters revocation data into the National Decertification Index (NDI). P.O.S.T. enters the personal data of individuals whose peace officer authority and peace officer certificates have been revoked by the P.O.S.T. Board. NDI can be accessed by all states and serves as a national clearing house which maintains the names of individuals who have been revoked and/or prohibited to serve as peace officers in other states.

P.O.S.T. Staff electronically maintains the peace officer certification record and data for approximately 13,554+- active peace officers. P.O.S.T. is also responsible for the maintenance of the certification records of many thousands of individuals who were at one time appointed as active peace officers, but who no longer serve as peace officers

II) HOT ISSUES:

P.O.S.T.'s responsibility for the certification and training of Colorado Peace Officers is a critical link between federal agencies, state agencies, and local government agencies. P.O.S.T. will continue to review the educational requirements and certification needs of Colorado peace officers. Towards that end, POST will be seeking a statutory in-service training requirement. There is currently no mandate that any POST-certified peace officer train after the academy, including firearms, legal update and other areas. While many agencies have policies requiring in-service training, there are others that have no such requirement. Part of this will include regular perishable skills training. Perishable skills are firearms, driving and arrest control.

POST will develop a strategic plan in September to focus on priorities and programs as identified by the POST Board.

III) WORKLOAD MEASURE:

At its quarterly meetings, the P.O.S.T. Board considers appealed variance requests and may hold hearings of appealed suspension, revocation, or denial rulings made by the P.O.S.T. Director.

The Board also reviews applications for program approval. Additionally, the P.O.S.T. Board conducts Rule Making Hearings to modify or implement P.O.S.T. Rules as a result of changes made during the legislative session, at the request of Staff, or to coincide with case law.

Staff also reviews the certification records of peace officers from bordering states applying for Colorado peace officer certification or involved in temporary assignment to law enforcement agencies in Colorado (see § 29-1-206, C.R.S.).

Recent Legislative Actions Affecting P.O.S.T. Workload

SB 14-123 increases the vehicle registration fee dedicated for P.O.S.T training from \$0.60 per registration to \$1.00 per registration. Additionally, this bill expanded the rule making authority of the P.O.S.T. Board. This bill increases revenues to the fund by roughly \$1.6M and appropriated 1.0 FTE to the program and a part-time employee to the Department's Administration section. The additional revenues will be primarily used to expand the training needs of the program.

SB 14-215 Creates the Marijuana Cash Fund to be used for the collection of marijuana retail related taxes. In relation to the P.O.S.T board this bill appropriates roughly \$1.2M to the Board to fund 1.0 FTE and to further expand the training needs of Colorado law enforcement associated with the new law enforcement issues associated with retail marijuana.

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SPECIAL PROSECUTIONS UNIT	3,375,427	30.2	4,223,969	32.5	4,115,956	37.8	4,679,584	37.8	4,470,538	39.6
General Fund	1,486,121		2,095,215		1,832,354		2,060,784		2,137,950	
General Fund Exempt	-		-		-		-		-	
Cash Funds	1,243,656		1,368,062		1,619,523		1,824,614		1,641,126	
Reappropriated Funds	645,651		760,693		664,079		794,186		691,462	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
I. POSITION DETAIL										
Deputy Attorney General	124,728	1.0	143,436	1.0			146,328	1.0	146,328	1.0
First Assistant Attorney General	196,918	1.9	330,625	2.6			388,224	3.0	388,224	3.0
Senior Assistant Attorney General	615,829	6.4	678,264	6.0			692,628	6.0	692,628	6.0
Assistant Attorney General	276,560	3.3	369,244	4.0			462,696	5.0	462,696	5.0
General Prof III							48,000	1.0	48,000	1.0
Criminal Investigator II	800,380	10.6	854,081	11.0			961,416	12.0	961,416	12.0
Criminal Investigator III	88,200	1.0	114,264	1.2			193,680	2.0	193,680	2.0
Legal Assistant II									0	0.0
Legal Assistant I	128,152	2.8	112,458	2.4			96,180	2.0	96,180	2.0
Legal Assistant II	55,776	1.0	80,388	1.4			118,620	2.0	118,620	2.0
Auditor IV	78,000	1.0	71,614	0.9			83,064	1.0	83,064	1.0
Program Assistant I	30,149	0.6	86,124	1.7			106,776	2.0	106,776	2.0
Administrative Assistant III	27,571	0.6	15,092	0.3			36,480	0.8	36,480	0.8
Administrative Assistant II										
TOTAL POSITION DETAIL	2,422,263	30.2	2,855,589	32.5			3,334,092	37.8	3,334,092	37.8
(I.A.) CONTINUATION FTE SALARY COSTS										
(Permanent FTE by Position) Continuation Salary Subtotal	2,422,263	30.2	2,855,589	32.5			3,334,092	37.8	3,334,092	37.8
(I.B.) OTHER PERSONAL SERVICES										
PERA on Continuation Subtotal	241,963		285,123				338,410		338,410	
Medicare on Continuation Subtotal	34,217		40,660				48,344		48,344	
Non-Base Building Performance Awards			1,108				1,840			
Part-Time/Temporary Salaries	-		35,085							
Contractual Services	21,252		22,240				173,862		173,862	
Furloughs	-		-							
Leave	13,979		9,449							
Forced Vacancy							(20,011)			
Overtime	49		-							
Unemployment Compensation	-		-							
Other	2,953		5,660							
SUBTOTAL	314,413	30.2	399,326	32.5			542,446	37.8	560,617	37.8
(I.C.) PERSONAL SERVICES SUBTOTAL= A+B	2,736,676		3,254,915				3,876,538		3,894,709	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
(I.D.) POTS EXPENDITURES										
Health/Life Dental	184,422		217,633				247,984			
Salary Survey Non Add			270,403				-			
Performance Awards Non Add			41,784				-			
Short Term Disability	4,132		5,342				7,335			
SB 04.257 A.E.D.	72,511		100,944				133,364			
SB 06.235 S.A.E.D.	62,264		90,957				125,028			
Other										
[] Indicates a Non-add										
(I.E.) BASE PERSONAL SERVICES TOTAL= C+D										
	3,060,005	30.2	3,669,791	32.5			4,390,249	37.8	3,894,709	37.8
General Fund	1,334,751		1,717,857				1,963,685			
Cash Funds	1,131,643		1,273,323				1,670,141			
Reappropriated Funds	593,612		678,612				756,423			
(I.F.) DIFFERENCE= II-I.E.										
(I.G.) REQUEST YEAR DECISION ITEMS										
FY15 Decision Items:										
General Fund									-	0.0
Cash Funds									-	
Reappropriated Funds										
II. PERSONAL SERVICE REQUEST TOTAL										
	3,060,005	30.2	3,669,791	32.5			4,390,249	37.8	3,894,709	37.8
General Fund	1,334,751		1,717,857				1,963,685		1,744,625	
Cash Funds	1,131,643		1,273,323				1,670,141		1,496,385	
Reappropriated Funds	593,612		678,612				756,423		653,699	
Federal Funds										

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSES										
1930 Litigation	7,867		83,404				5,372		35,080	
2150 Custodial Services	-		-							
2160 Janitorial Service	-		-							
2170 Waste Disposal Services	37		2,971				1,500		1,500	
2210 Bldg Maintenance/Repair Svcs	-		106							
2220 Building Grounds Maintenance	-		-							
2230 Equipment Contract Maintenance	136		15				20		20	
2231 ADP Equip Maint/Repair Services	2,796		4,540							
2232 Software Upgrades	5,960		4,407				-		-	
2240 Motor Veh Maint/Repair Svcs	-		-							
2251 Lease Motor Pool Vehicle	24,269		21,246							
2252 Motor Pool Mileage Charge	16,445		22,117				23,512		23,512	
2253 Equipment Rental	-		-				-		-	
2254 Rental of Motor Vehicles	-		187							
2255 Rental of Buildings	95,683		2,777				-		-	
2258 Parking Fees	13,790		14,985				14,985		14,985	
2259 Parking Fee Reimbursement	6		19							
2268 Retntal of IT Software - Network			1,666							
2510 In State Travel	68		129				150		150	
2511 In State Common Carrier Fares	-		-				-		-	
2512 IS Personal Travel Per Diem	13,638		10,779				19,444		19,444	
2513 IS Personal Vehicle Reimbursement	219		501				482		482	
2520 IS Travel/Non Employee	453		96							
2521 IS Common Carrier Non Employee	-		-							
2522 IS Non-Emp - Pers Per Diem	69		-							
2523 IS/Non-Emp - Pers Veh Reimb	-		-							
2530 Out of State Travel	238		367				250		250	
2531 OS Common Carrier Fares	1,288		1,699				1,455		1,455	
2532 OS Personal Travel Per Diem	1,239		3,091				2,665		2,665	
2533 OS Personal Vehicle Reimbursement	-		-							
2540 OS Travel Non-Employee	531		-							
2541 OS Non Emp Common Carrier Fares	-		-							
2542 OS/Non Emp - Pers Per Diem	46		-							
2550 Out of Country Travel	-		-				-		-	
2551 Out of Country Common Carrier Fares	-		-							
2552 OC Pers Travel Reimbursement	-		-							
2610 Advertising	-		-				-		-	
2630 Telephone	16,510		4,913				5,689		5,689	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2631 Comm Svcs from Outside Sources	11,865		29,555				33,250		33,250	
2641 Other ADP Billings - Purchase Services	-		5,439				-		-	
2650 OIT Purchased Svcs	-		-							
2660 Insurance	5,360		5,777							
2680 Contract Printing	6,498		11,447				21,466		21,466	
2681 Photocopy Reimbursement	-		-							
2710 Purchase Medical Services	-		-				-		-	
2810 Freight & Storage	-		-							
2820 Other Purchased Services	75		663				320		320	
2830 Office Moving/Purchased Services	7,710		-							
2831 Storage Purch Svcs	54		-							
3110 Other Supplies and Materials	1,384		397							
3112 Automotive Supplies	-		10							
3113 Clothing and Uniform Allowance	-		-							
3114 Custodial	-		-							
3115 DP Supplies	469		40				-		-	
3116 Purchase/Leased Software	356		9,701				-		-	
3117 Educational	1,178		1,287				1,100		1,100	
3120 Books & Subscriptions	13,380		16,822				13,250		13,250	
3121 Office Supplies	3,655		12,625				4,215		4,215	
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	2,343		3,495				2,850		2,850	
3124 Printing	188		66							
3126 Repair & Maintenance/Supplies	-		-							
3128 Non-Capitalized Equipment	2,505		5,027				-		-	
3131 Non-Capitalized Building Mat'ls	3,511		163							
3132 Non-Cap Office Furn/Office Systems	-		654				-		-	
3140 Non-Capitalized IT - PC's	13,073		2,925				3,000		-	
3141 Non Capitalized IT Servers	-		257				-		-	
3142 Non Cap IT Network	47		160							
3143 Non-Capitalized IT - Other	1,509		5,900				-		-	
3146 Non Capitalized IT	-		-				-		-	
4100 Other Operating Expenses	-		-							
4140 Dues & Memberships	7,675		14,277				12,589		12,589	
4151 Interest Late Payments	-		13							
4170 Miscellaneous Fees	194		406				450		450	
4180 Official Functions	1,001		1,085				1,200		1,200	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
4111 Prizes and Awards	-		26							
4150 Interest Expense			214							
4220 Registration Fees	6,771		11,360				10,555		10,555	
5781 Grants to NonGov/Organizations							109,566		102,832	
6140 Buildings and Improves. to Bldg.	-		-							
6210 Other Capital Equipment	-		-							
6212 IT Servers - Direct Purchase	485		2,314							
6213 IT PC SW - Direct Purchase	-		-							
6214 IT Other Direct Purchase	12,164		-							
6216 IT Servers SW	2,452		-							
EBJJ Law to Judicial	8,232		232,057							
Operating Expense Subtotal:	315,422		554,178				289,335		309,309	
FY16 DECISION ITEMS:										
Total Funds									266,520	1.8
General Fund									266,520	1.8
Cash Funds										
Cash Funds Exempt										
OPERATING EXPENSE TOTAL:	315,422		554,178				289,335		575,829	
General Fund	151,370		377,358				97,099		393,325	
General Funds Exempt										
Cash Funds	112,013		94,739				154,473		144,741	
Reappropriated Funds	52,039		82,082				37,763		37,763	
Potted Operating Expenses							-			
### Workers' Compensation										
Vehicle Leased Expense										
Capital Complex Lease Space										
Lease Space										
IT Asset Maintenance										
ADP Capital Outlay										
Communication Service Payments										
Building Security										
CLE Registration Fees										
Total										
General Fund										
Cash Funds										
Reappropriated Funds										
ROLLFORWARDS							-			
General Fund									-	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Cash Funds										
Reappropriated Funds							-			
REFINANCING THE LINE ITEM									-	
General Fund										
General Fund Exempt										
Cash Funds									-	
Cash Funds Exempt										
TOTAL SPECIAL PROSECUTIONS UNIT	3,375,427	30.2	4,223,969	32.5			4,679,584	37.8	4,470,538	39.6
General Fund	1,486,121		2,095,215				2,060,784		2,137,950	
General Fund Exempt	-		-							
Cash Funds	1,243,656		1,368,062				1,824,614		1,641,126	
Reappropriated Funds	645,651		760,693				794,186		691,462	
RECONCILIATION OF FUNDS										
Long Bill Appropriation	2,816,528	30.5	3,233,334	34.4	3,659,196	35.8	3,659,196	35.8	3,659,196	35.8
DI#1 Violent Crimes Assistance Team FTE									266,520	1.8
Increase due to \$5,000 Grant	5,000		0							
SB 12-110	196,677	2.0							-	
SB 13-1230 Exoneration of wrongly convicted	-		128,662	1.4						
SB 14-215					456,760	2.0	456,760	2.0	456,760	2.0
SB 14-215 annualization									(19,994)	
Long Bill Add On										
Salary Survey-Classified			23,911				39,870		39,870	
PBP - Classified			16,574				18,518		18,518	
Salary Survey Exempt			246,492				27,672		27,672	
PBP - Exempt			25,210				21,996		21,996	
Health/Life/Dental	110,922		183,782				200,728			
Short Term Disability	3,802		5,217				6,837			
SB 04.257 A.E.D.	46,105		107,987				125,170		-	
SB 06.235 S.A.E.D.	66,834		97,488				122,837			
Worker's Compensation	5,389		5,859							
Capital Complex Lease Space/CARR Bldg	49,502		229,460							

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Lease Space	2,907		2,907							
Vehicle Lease Allocation	29,373		23,847							
IT Asset Maintenance	23,222		23,222							
ADP Capital Outlay Allocation	-		-							
Communication Service Payments	5,590		4,734							
Building Security	10,332		-							
CLE Registration Fees	4,500		4,500							
Roll Forward from Previous FY							-			
Rollforward to Subsequent FY										
Overexpenditure/(Reversion) - GF	(1,255)	(2.3)	(67,976)	(2.8)						
Lapsed Appropriation Cash Fund	(1)		(36,304)	(0.2)						
Lapsed Appropriation Reappropriated Funds			(34,937)	(0.3)						
TOTAL RECONCILIATION	3,375,427	30.2	4,223,969	32.5			4,679,584	37.8	4,470,538	39.6
DIFFERENCE=										
GRAND TOTAL	3,375,427	30.2	4,223,969	32.5	4,115,956	37.8	4,679,584	37.8	4,470,538	39.6
General Fund	1,486,121		2,095,215		1,832,354		2,060,784		2,137,950	
General Fund Exempt	-		-		-		-		-	
Cash Funds	1,243,656		1,368,062		1,619,523		1,824,614		1,641,126	
Reappropriated Funds	645,651		760,693		664,079		794,186		691,462	
Federal Funds							-		-	

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

SPECIAL PROSECUTIONS UNIT

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
Schedule 3 Total		3,375,427	4,223,969	4,115,956	4,679,584	4,470,538
General Funds		1,486,121	2,095,215	1,832,354	2,060,784	2,137,950
General Funds Exempt		-	-	-	-	-
Cash Funds		1,243,656	1,368,062	1,619,523	1,824,614	1,641,126
Reappropriated Funds		645,651	760,693	664,079	794,186	691,462
Cash Funds						
Marijuana Cash Fund				456,760	456,760	436,766
Fund #16Z		1,238,656	1,368,062	1,162,763	1,367,854	1,204,360
EALA		5,000				
Reappropriated Funds		645,651	760,693	664,079	794,186	691,462
Special Prosecution Custodial		-	-	-		
DORA Division of Insurance Cash Fund		-	-	-		
DORA Division of Securities		645,651	760,693	664,079	794,186	691,462

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Auto Theft	255,694	2.3	278,271	2.3	276,666	2.0	276,666	2.0	286,666	2.0
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	255,694		278,271		276,666		276,666		286,666	
Federal Funds	-		-		-		-		-	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
I. POSITION DETAIL										
Senior Asst Attorney General	98,004	1.0	106,568	1.0			118,812	1.0	118,812	1.0
Criminal Investigator II	76,800	1.0	79,584	1.0			82,224	1.0	82,224	1.0
Administrative Asst III	14,185	0.3	12,366	0.3						
TOTAL POSITION DETAIL	188,989	2.3	198,518	2.3			201,036	2.0	201,036	2.0
(I.A.) CONTINUATION FTE SALARY COSTS										
(Permanent FTE by position) Continuation Salary Subtotal	188,989	2.3	198,518	2.3			201,036	2.0	201,036	2.0
(I.B.) OTHER PERSONAL SERVICES										
PERA on Continuation Subtotal	17,827		18,929				20,405		20,405	
Medicare on Continuation Subtotal	2,548		2,831				2,915		2,915	
Non-Base Building Performance Awards										
Contractual	673		-				8,980		17,170	
Overtime	49		-							
Sick and Annual Leave Payouts	395		-							
Furlough Days	-		-				-		-	
Other	489		488							
Vacancy Savings										
SUBTOTAL	21,981		22,247				32,300		40,490	
(I.C.) PERSONAL SERVICES SUBTOTAL=										
A+B	210,970	2.3	220,766	2.3			233,336	2.0	241,526	2.0
(I.D.) POTS EXPENDITURES										
Health/Life Dental	19,493		22,290				20,492		20,492	
Salary Survey							-			
Performance Awards							-			
Short Term Disability	322		398				442		442	
SB 04.257 A.E.D.	5,629		6,678				8,041		8,846	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SB 06.235 S.A.E.D. Other [] Indicates a Non-add	4,841		6,017				7,539		8,544	
(I.E.) BASE PERSONAL SERVICES TOTAL= C+D	241,255	2.3	256,149	2.3			269,851	2.0	279,850	2.0
(I.F.) DIFFERENCE= II- I.E. (I.G.) REQUEST YEAR DECISION ITEMS									-	
General Fund Cash Funds Reappropriated Funds										
II. PERSONAL SERVICES REQUEST TOTAL	241,255	2.3	256,149	2.3			269,851	2.0	279,850	2.0
General Fund Cash Funds Reappropriated Funds Federal Funds	- 241,255		- 256,149				269,851		279,850	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSES										
1930 Litigation	298		630				250		250	
2170 Waste Disposal Services	-		200							
2210 Bldg Maintenance/Repair Svcs	-		35							
2230 Equipment Maintenance/Repair Svcs	-		-				-			
2231 ADP Equip Maint/Repair Services	-		226				-			
2251 Rental/Lease Motor Pool Vehicle	1,344		1,193				1,250		1,250	
2252 Motor Pool Mileage Charge	1,105		1,211				250		250	
2254 Rental of Motor Vehicles	-		-							
2255 Rental of Buildings	6,109		-							
2258 Parking Fees	-		-				0		0	
2559 Parking Fee Reimbursement	-		-				26		26	
2510 In State Travel	-		-						0	
2258 Parking Fees	-		-							
2512 IS Personal Travel Per Diem	313		356				350		350	
2513 IS Personal Vehicle Reimbursement	-		-							
2530 Out of State Travel	-		-							
2531 OS Common Carrier Fares	-		-							
2532 OS Personal Travel Per Diem	-		-							
2533 OS Personal Vehicle Reimbursement	-		-							
2630 Comm Svcs Div of Telecom	553		-							
2631 Comm Svcs from Outside Sources	628		622				650		650	
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	351		336							
2680 Contract Printing	278		60				200		200	
2681 Photocopy Reimbursement	-		-							
2810 Freight & Storage	-		-				-		-	
2820 Other Purchased Services	-		221				-		-	
2830 Office Moving/Purchased Services	-		-							
3110 Other Supplies and Materials	246		-				360		360	
3112 Automotive Supplies	-		-							
3114 Custodial	-		-							
3115 DP Supplies	103		-				-		-	
3116 Purchase/Leased Software	-		-							
3117 Educational	59		-							
3120 Books & Subscriptions	1,137		1,497				1,500		1,500	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
3121 Office Supplies	1,012		927				976		976	
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	173		298				328		328	
3124 Printing	35		-				-		-	
3126 Repair & Maintenance/Supplies	-		-				50		50	
3128 Non-Capitalized Equipment	-		228							
3132 Non-Capitalized Furn/Office Sust	-		-							
3140 Non-Capitalized IT - PCs	-		-				-		-	
3141 Non-Capitalized IT - Servers	-		-							
3143 Non-Capitalized IT - Other	4		-							
3146 Non-Capitalized IT Purchased Server SW	-		-							
4100 Other Operating Expenses	-		-							
4140 Dues & Memberships	(113)		-				-		-	
4170 Miscellaneous Fees	-		-							
4180 Official Functions	-		-							
4220 Registration Fees	345		594				625		625	
EBJJ OT RE Law to Jud Unused Appropriation	457.0		13,487							
Operating Expense Subtotal:	14,439		22,122				6,815		6,815	
Decision Item										
Cash Funds Exempt										
OPERATING EXPENSE TOTAL:	14,439		22,122				6,815		6,815	
General Fund										
General Funds Exempt										
Cash Funds	14,439		22,122							
Reappropriated Funds	-		-				6,815		6,815	
Potted Operating Expenses										
Workers' Compensation							-		-	
Leased Vehicle Expense										
Leased Space Allocation							-		-	
IT Asset Maintenance										
ADP Capital Outlay										
Building Security										
Total							-		-	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
General Fund							-			
Cash Funds Exempt							-			
Base Refinancing									-	
General Fund										
Reappropriated Funds										
FY010 Refinancing									-	
General Fund									-	
Reappropriated Funds									-	
DECISION ITEMS									-	
General Fund									-	
Projected Shortfall							-			
Reappropriated Funds							-			
TOTAL Auto Theft Grant	255,694	2.3	278,271	2.3	301,569	2.5	276,666	2.0	286,666	2.0
General Fund										
General Fund Exempt										
Cash Funds	-		-							
Reappropriated Funds	255,694		278,271		301,569	2.5	276,666	2.0	286,666	
Federal Funds									-	
RECONCILIATION OF FUNDS										
Long Bill Appropriation	239,075	2.0	282,234	2.5	301,569		301,569	2.5	301,569	2.5
Supplemental HB 10-1305	-		-							
Grant Amount (Est)	43,159	0.5	9,335		(24,903)	(0.5)	(24,903)	(0.5)	(14,903)	(0.5)
PERA, AED, SAED adjustments										
Removal of one time equipment purchases										
Classified Salary POTS										
Health/Life/Dental										
Short Term Disability										

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
SB 04.257 A.E.D.										
SB 06.235 S.A.E.D.										
Capital Complex Lease Space										
Workers Compensation										
Vehicle Lease Allocation										
IT Asset Maintenance										
ADP Capital Outlay Allocation										
Building Security										
Rollforward from Previous FY year										
Restriction										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriated Fund	(26,540)		(13,298)	(0.2)						
Lapsed Appropriation Federal Funds	-		-							
TOTAL RECONCILIATION	255,694	2.0	278,271	2.3			276,666	2.0	286,666	
GRAND TOTAL	255,694	2.3	278,271	2.3	276,666	2.0	276,666	2.0	286,666	2.0
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	255,694		278,271		276,666		276,666		286,666	
Federal Funds	0		0				-			

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

Auto Theft Prevention Grant

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
Schedule 3 Total		255,694	278,271	276,666	276,666	286,666
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		255,694	278,271	276,666	276,666	286,666
Federal Funds		-	-	-	-	-
Reappropriated Funds						
Auto Theft Prevention Grant		255,694	278,271	276,666	276,666	286,666
Federal Funds						
Federal VOCA Grant		-	-		-	-

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

APPELLATE UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
APPELLATE UNIT	3,065,022	31.3	4,251,008	37.0	3,701,264	38.9	4,352,606	38.9	3,782,761	39.0
General Fund	2,551,396		3,610,003		3,209,853		3,861,195		3,521,279	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	513,626		641,005		491,411		491,411		261,482	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

APPELLATE UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
I. POSITION DETAIL										
Deputy Attorney General	122,016	1.0	140,316	1.0			143,136	1.0	143,136	1.0
First Assistant Attorney General	286,437	3.0	374,051	3.0			378,072	3.0	378,072	3.0
Senior Assistant Attorney General	546,600	6.7	721,766	7.0			742,596	7.0	742,596	7.0
Assistant Attorney General	1,100,637	16.6	1,364,125	18.0			1,828,176	23.0	1,828,176	23.0
Attorney			267,075	4.0			-		-	
General Prof IV	68,256	1.0	71,088	1.0			73,308	1.0	73,308	1.0
Program Assistant I	48,000	1.0	49,740	1.0			52,896	1.0	52,896	1.0
Administrative Assistant II	72,000	2.0	75,983	2.0			114,860	2.9	117,660	3.0
Administrative Assistant I										
TOTAL POSITION DETAIL	2,243,945	31.3	3,064,146	37.0			3,333,044	38.9	3,335,844	39.0
(I.A.) CONTINUATION FTE SALARY COSTS										
(Permanent FTE by Position)										
Continuation Salary Subtotal	2,243,945	31.3	3,064,146	37.0			3,333,044	38.9	3,335,844	39.0
(I.B.) OTHER PERSONAL SERVICES										
PERA on Continuation Subtotal	221,255		298,150				338,304		338,588	
Medicare on Continuation Subtotal	30,136		41,936				48,329		48,370	
Non-Base building Performance Award			294				2,100		-	
Furlough	-		-				-		-	
Part-Time/Temporary Salaries	-		-				-		-	
Contractual Services	10,449		-				-		7,047	
Leave	21,777		314							
Vacancy Savings							(2,391)			
Overtime	-		293				-		-	
Other	4,944		7,760				-		-	
SUBTOTAL	288,561	31.3	349,038	37.0			386,342	38.9	394,005	39.0
(I.C.) PERSONAL SERVICES										
SUBTOTAL= A+B	2,532,506	31.3	3,413,184	37.0			3,719,386	38.9	3,729,849	39.0
Difference										
(I.D.) POTS EXPENDITURES										
Health/Life Dental	250,553		311,666				314,665			
Salary Survey			389,958				-			
Performance Awards			45,933				-			
Short Term Disability	3,864		5,757				7,333			
SB 04.257 A.E.D.	62,556		104,985				133,322			
SB 06.235 S.A.E.D.	53,606		94,513				124,989			

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

APPELLATE UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Other [] Indicates a Non-add										
I BASE PERSONAL SERVICES TOTAL=	2,903,085	31.3	3,930,105	37.0			4,299,695	38.9	3,729,849	39.0
(I.F.) DIFFERENCE= II-I.E.									-	
(I.G.)										
General Fund										
Cash Funds										
Reappropriated Funds										
II PERSONAL SERVICES REQUEST TOTAL	2,903,085	31.3	3,930,105	37.0			4,299,695	38.9	3,729,849	39.0
General Fund	2,389,459		3,289,100				3,808,284		3,468,367	
Cash Funds										
Reappropriated Funds	513,626		641,005				491,411		261,482	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

APPELLATE UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSES										
1930 Litigation	4,735		1,443				1,500		1,500	
2170 Waste Disposal Services	-		-				-		-	
2220 Building Grounds Maintenance	-		-				-		-	
2230 Bldg Maintenance/Repair Svcs	-		-				-		-	
2231 ADP Equip Maint/Repair Services	1,197		3,819				650		650	
2232 Software Upgrades	-		-				-		-	
2240 Motor Veh Maint/Repair Svcs	-		-				-		-	
2251 Lease Motor Pool Vehicle	-		-				-		-	
2252 Motor Pool Mileage Charge	-		-				-		-	
2255 Rental of Buildings	95,513		905				-		-	
2258 Parking Fees	-		-				-		-	
2559 Parking Fee Reimbursement	-		-				-		-	
2510 In State Travel	40		-				-		-	
2512 IS Personal Travel Per Diem	2,330		1,429				2,250		2,250	
2513 IS Personal Vehicle Reimbursement	88		172				75		75	
2520 IS Travel/Non Employee	-		-				-		-	
2521 IS Common Carrier Non Employee	-		-				-		-	
2522 IS Non-Emp - Pers Per Diem	-		-				-		-	
2523 IS/Non-Emp - Pers Veh Reimb	-		-				-		-	
2530 Out of State Travel	-		-				-		-	
2531 OS Common Carrier Fares	-		-				-		-	
2532 OS Personal Travel Per Diem	-		-				118		118	
2533 OS Personal Vehicle Reimbursement	-		-				-		-	
2550 Out of Country Travel	-		-				-		-	
2552 OC Pers Travel Reimbursement	-		-				-		-	
2630 Telephone	7,840		-				-		-	
2631 Comm Svcs from Outside Sources	142		69				425		425	
2641 Other ADP Billings - Purchase Services	88		12,053				13,500		13,500	
2660 Insurance	5,448		6,297				-		-	
2680 Contract Printing	4,675		593				950		950	
2681 Photocopy Reimbursement	-		-				-		-	
2810 Freight & Storage		0.0		-						
2820 Other Purchased Services	120		232				5,264		5,264	
2830 Office Moving/Purchased Services	-		-				-		-	
3110 Other Supplies and Materials	-		-				-		-	
3115 DP Supplies	-		-				350		350	
3116 Purchase/Leased Software	-		5,253				-		-	
3117 Educational	-		-				-		-	
3120 Books & Subscriptions	2,862		2,888				3,115		3,115	
3121 Office Supplies	3,939		5,360				4,288		4,288	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

APPELLATE UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
3122 Microfilming/Photo. Supplies	-		-						-	
3123 Postage	3,898		2,931				3,012		3,012	
3124 Printing	-		-						-	
3126 Repair & Maintenance/Supplies	-		-						-	
3128 Non-Capitalized Equipment	-		452						-	
3131 Non-Capitalized Building Materials	3,511		123				-		-	
3132 Non-Cap Office Furn/Office Systems	299		1,887				-		-	
3139 NONCAPITLIZD FIXED ASSET OTHER	-		-						-	
3140 Non-Capitalized IT - PC's	2,932		1,825						-	
3141 Non-Capitalized IT - Servers	-		-						-	
3143 Non-Capitalized IT Other	1,082		1,560				-		-	
3146 Non-Capitalized Purchased Server SW	-		-						-	
3950 Gasoline	-		-						-	
4111 Prizes and Awards	-		-						-	
4140 Dues & Memberships	110		5,846				5,915		5,915	
4151 Interest Late Payments	-		-						-	
4170 Miscellaneous Fees	-		-						-	
4180 Official Functions	-		121				-		-	
4220 Registration Fees	8,280		12,755				11,500		11,500	
6140 Buildings and Improves. to Bldg.	-		-						-	
6210 Other Capital Equipment	-		-						-	
6212 IT PC SW - Direct Purchase	-		-				-		-	
6213 IT Servers Direct Purchase	-		-				-		-	
6220 Office Furn & Equip	-		-						-	
6224 Other Furn & Fixtures- Direct Purchase	-		-						-	
6410 ADP Equipment-Lease Purchase	-		-						-	
EBJJ OT RE LAW TO JUD	12,807		252,891						-	
Operating Expense Subtotal:	161,937		320,902				52,912		52,912	
OPERATING EXPENSE TOTAL:	161,937		320,902				52,912		52,912	
General Fund	161,937		320,902				52,912		52,912	
General Funds Exempt							-		-	
Reappropriated Funds										
Rollforwards							-		-	
General Fund Exempt							-		-	
Special Bills										
HB07-1054 Increasing Judges									-	-

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

APPELLATE UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
General Fund									-	
Potted Operating Expenses										
Workers' Compensation							-			
Leased Vehicle Expense							-			
Capital Complex Lease Space							-			
Lease Space							-			
IT Asset Maintenance							-			
ADP Capital Outlay							-			
CLE Registration Fees							-			
Building Security							-			
Total							-			
General Fund							-			
General Fund Exempt										
Cash Funds										
Reappropriated Funds							-			
DECISION ITEMS										
General Fund									-	0.0
Cash Funds									-	0.0
Cash Funds Exempt										
TOTAL APPELLATE UNIT	3,065,022	31.3	4,251,008	37.0			4,352,606	38.9	3,782,761	39.0
General Fund	2,551,396		3,610,003				3,861,195		3,521,279	
General Fund Exempt							0.00		-	
Cash Funds							0.00		-	
Reappropriated Funds	513,626		641,005				491,411		261,482	
RECONCILIATION OF FUNDS/REQUEST										
Long Bill Appropriation	2,703,455	32.0	3,240,771	37.5	3,697,461	38.9	3,697,461	38.9	3,697,461	37.5
SB 11-76 PERA Reduction	-		-							
Additional VALE Grant			3,408		3,803		3,803		3,803	
Additional VALE Grant FY 16 est										
FY 15 Decision Item Reconciliation									(1,753)	0.1
HB 12 1246 Reinstate Paydate Shift Part T <i>Decision Item</i>	5,880									
Salary Survey Classified			2,852				11,052		11,052	
Salary Survey Exempt			387,106				39,806		39,806	
Merit Pay Classified			2,143				3,065		3,065	
Merit Pay Exempt			43,790				30,845		29,327	
Health/Life/Dental	89,848		241,380				302,984			

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

APPELLATE UNIT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Short Term Disability	3,380		2,504				6,349			
SB 04.257 A.E.D.	70,602		67,977				133,431			
SB 06.235 S.A.E.D.	60,383		60,435				123,810			
Worker's Compensation	5,653		6,386							
Capital Complex Lease Space/Carr	102,783		193,990							
Lease Space	947		947							
Vehicle Lease Allocation										
IT Asset Maintenance										
CLE Registration Fees	11,250		11,250							
Building Security	10,841		-							
ADP Capital Outlay Allocation										
Rollforward from Previous FY year							-			
Rollforward to Subsequent FY										
Overexpenditure/(Reversions) - GF			(13,931)	(0.5)						
Lapsed Appropriation RF		(0.7)	-							
TOTAL RECONCILIATION	3,065,022	31.3	4,251,008	37.0			4,352,606		3,782,761	37.6
GRAND TOTAL	3,065,022	31.3	4,251,008	37.0	3,701,264	38.9	4,352,606	38.9	3,782,761	39.0
General Fund	2,551,396		3,610,003		3,209,853		3,861,195		3,521,279	
General Fund Exempt							-		-	
Cash Funds							-		-	
Reappropriated Funds	513,626		641,005		491,411		491,411		261,482	
Federal Funds							-		-	

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

APPELLATE UNIT

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
Schedule 3 Total		3,065,022	4,251,008	3,701,264	4,352,606	3,782,761
General Funds		2,551,396	3,610,003	3,209,853	3,861,195	3,521,279
General Funds Exempt				-	-	-
Cash Funds				-	-	-
Reappropriated Funds		513,626	641,005	491,411	491,411	261,482
Reappropriated Funds	100					
Indirect Cost Recoveries		440,975	564,946	411,549	411,549	181,620
Victim's Assistance		72,651	76,059	79,862	79,862	79,862

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

MEDICAID FRAUD GRANT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
MEDICAID FRAUD CONTROL GRANT	1,738,022	16.2	1,986,025	16.6	1,648,189	17.0	1,948,021	17.0	1,697,877	17.0
General Fund	424,643		520,939		412,045		487,001		424,465	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		-		-		-	
Reappropriated Funds	-		-		-		-		-	
Federal Funds	1,313,380		1,465,086		1,236,144		1,461,020		1,273,412	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
I. POSITION DETAIL										
First Assistant Attorney General	105,000	1.0	127,056	1.0			129,408	1.0	129,408	1.0
Senior Assistant Attorney General	98,400	1.0	119,856	1.0			122,460	1.0	122,460	1.0
Assistant Attorney General	83,888	0.9	96,461	1.0			98,988	1.0	98,988	1.0
Criminal Investigator III	99,036	1.0	103,140	1.0			106,356	1.0	106,356	1.0
Criminal Investigator II	622,648	8.4	678,797	8.6			732,600	9.0	732,600	9.0
Auditor IV	77,100	1.0	80,832	1.0			83,676	1.0	83,676	1.0
Program Assistant I	37,322	0.8	45,480	1.0			47,088	1.0	47,088	1.0
Health Professional IV	71,700	1.0	74,244	1.0			76,104	1.0	76,104	1.0
Legal Assistant I									0	
Legal Assistant II	49,044	1.0	58,872	1.0			62,256	1.0	62,256	1.0
TOTAL POSITION DETAIL	1,244,137	16.2	1,384,738	16.6			1,458,936	17.0	1,458,936	17.0
(I.A.) CONTINUATION FTE SALARY COSTS										
(Permanent FTE by position) Continuation Salary Subtotal	1,244,137	16.2	1,384,738	16.6			1,458,936	17.0	1,458,936	17.0
(I.B.) OTHER PERSONAL SERVICES										
PERA on Continuation Subtotal	122,485		136,020				148,082		148,083	
Medicare on Continuation Subtotal	17,510		19,459				21,155		21,155	
Non-Base building Performance Award	-		1,000				1,340		-	
Part-Time/Temporary Salaries	-		-				-		-	
Professional Contractual Services	6,947		508				-		-	
Sick Leave Payouts	56		705				-		-	
Annual Leave Payouts	430		5,297				-		-	
Leave Payouts							-		-	
Forced Vacancy	-		-						(3,047)	
Overtime	-		-						-	
Other	1,571		2,026				750		750	
SUBTOTAL	148,998	16.2	165,014	16.6			171,327	17.0	166,941	17.0
(I.C.) PERSONAL SERVICES SUBTOTAL= A+B										
	1,393,135	16.2	1,549,752	16.6			1,630,263	17.0	1,625,877	17.0
(I.D.) POTS EXPENDITURES										
Health/Life Dental	108,756		129,482				129,482			
Salary Survey non add			102,474				-			
Performance Awards Non Add			22,919				-			
Short Term Disability	2,143		2,622				3,210			

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
SB 04.257 A.E.D.	38,560		48,165				58,357			
SB 06.235 S.A.E.D.	33,116		43,455				54,710			
Other [] Indicates a Non-add										
(I.E.) BASE PERSONAL SERVICES TOTAL=C+D	1,575,710	16.2	1,773,476	16.6			1,876,021	17.0	1,625,877	17.0
(I.F.) DIFFERENCE- II.-I.E.										
(I.G.) REQUEST YEAR DECISION ITEMS										
General Fund										
Cash Funds										
Reappropriated Funds										
II. PERSONAL SERVICES REQUEST TOTAL	1,575,710	16.2	1,773,476	16.6			1,876,021	17.0	1,625,877	17.0
General Fund	384,064		467,802				469,005		406,470	
Cash Funds										
Reappropriated Funds	-		-							
Federal Funds	1,191,645		1,305,675				1,407,016		1,219,407	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSES										
1930 Litigation	(43)		852				750		750	
2170 Waste Disposal Service	2		49				-		-	
2210 Other Maintenance/Repair Svcs			35							
2230 Equipment Contract Maintenance	8		1				-		-	
2231 ADP Equip Maint/Repair Services	(697)		2,186							
2232 Software Upgrades	354		262							
2240 Motor Veh Maint/Repair Svcs	-		-				-		-	
2251 Lease Motor Pool Vehicle	3,306		3,018							
2252 Motor Pool Mileage Charge	3,583		7,081				6,896		6,896	
2253 Equipment Rental	-		-						-	
2254 Rental of Motor Vehicles	-		-						-	
2255 Rental of Buildings	52,127		219						-	
2258 Parking Fees	3,960		4,455				3,500		3,500	
2259 Parking Fee Reimbursement	-		-				-		-	
2268 Rental of IT Software - Network			504							
2510 In State Travel	-		-						-	
2511 In State Common Carrier Fare	-		-						-	
2512 IS Personal Travel Per Diem	2,720		1,997				2,525		2,525	
2513 IS Personal Vehicle Reimbursement	20		148				50		50	
2520 IS Travel/Non Employee	-		-						-	
2522 IS Non-Emp - Pers Per Diem	-		-						-	
2523 IS/Non-Emp - Pers Veh Reimb	-		-						-	
2530 Out of State Travel	514		579				520		520	
2531 OS Common Carrier Fares	3,609		3,024				3,225		3,225	
2532 OS Personal Travel Per Diem	4,900		4,500				4,972		4,972	
2533 OS Personal Vehicle Reimbursement	-		-						-	
2550 Out of Country Travel	-		-						-	
2552 OC Pers Travel Reimbursement	-		-						-	
2610 Advertising	-		-						-	
2630 Telephone	8,204		3,002				2,750		2,750	
2631 Comm Svcs from Outside Sources	7,688		19,518				19,625		19,625	
2640 GGCC Billings Purch Serv			401							
2641 Other ADP Billings - Purchase Services	-		1,085							
2650 OIT Purchased Svcs	-		-							
2660 Insurance	2,988		2,855						-	
2680 Contract Printing	1,575		53				850		850	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2981 Photocopy Reimbursement	-		-						-	
2810 Freight & Storage	-		-						-	
2820 Other Purchased Services	-		-						-	
2830 Office Moving/Purchased Services	-		-						-	
2831 Storage Purch Svs	3		-						-	
3110 Other Supplies and Materials	272		-						-	
3112 Automotive Supplies	-		-						-	
3113 Clothing & Uniform Allowance	-		-						-	
3114 Custodial	-		-						-	
3115 DP Supplies	226		80				-		-	
3116 Purchase/Leased Software	83		518						-	
3117 Educational Supplies			102						-	
3119 Med Lab Supplies	32		-				-		-	
3120 Books & Subscriptions	8,648		9,426				9,528		9,528	
3121 Office Supplies	979		4,733				2,256		2,256	
3122 Microfilming/Photo. Supplies	-		-						-	
3123 Postage	526		338				578		578	
3124 Printing	81		-						-	
3126 Repair & Maintenance/Supplies	-		50						-	
3128 Non-Capitalized Equipment	1,172		2,612				-		-	
3131 Non-Capitalized Building Materials	-		39				-		-	
3132 Non-Capitalized Furn/Office Systems	-		22						-	
3139 Non-Capitalized Fixed Asset Other	-		-						-	
3140 Non-Capitalized IT - PC's	9,212		120				-		-	
3141 Non-Capitalized IT - Servers			15						-	
3142 Non-Capitalized IT - Network	3		9				-		-	
3143 Non-Capitalized IT Other	334		963				-		-	
3146 Non-Capitalized IT Purch Servers SW	-		-				-		-	
4111 Prizes and Awards	-		200						-	
4140 Dues & Memberships	9,778		14,034				10,125		10,125	
4151 Interest Late Payments	-		-						-	
4170 Miscellaneous Fees	-		-						-	
4180 Official Functions	33		-						-	
4220 Registration Fees	3,784		3,395				3,850		3,850	
6140 Buildings and Improves. to Bldg.	-		-						-	
6210 Other Capital Equipment	-		-						-	
6212 IT Servers Direct Purchase	29		138				-		-	
6214 IT Other Direct Purchase			5,287						-	
6216 IT Server SW - Direct Purchase	146		-						-	
6224 Other Furniture and Fixtures Direct Purchase	24,377		-				-		-	
EBJJ Law to Judicial	7,775		114,644							

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Operating Expense Subtotal:	162,312		212,549				72,000		72,000	
OPERATING EXPENSE TOTAL:	162,312		212,549				72,000		72,000	
General Fund	40,578		53,137				18,000		17,996	
Federal Funds	121,734		159,411				54,000		54,004	
Potted Operating Expenses										
Workers' Compensation							-			
Leased Vehicle Expense							-			
Capital Complex Lease Space							-			
Leased Space Allocation							-			
IT Asset Maintenance							-			
Communication Service Payments							-			
ADP Capital Outlay										
CLE Registration Fees							-			
Building Security							-			
Total							-			
General Fund							-			
Cash Funds										
Reappropriated Funds										
Federal Funds							-			
FY 13 Decision Item:										
Med Fraud FTE										
General Funds										-
Federal Funds										-
Vacancy Savings										
General Funds										-
Federal Funds										-
TOTAL MEDICAID FRAUD	1,738,022	16.2	1,986,025	16.6			1,948,021	17.0	1,697,877	17.0
General Fund	424,643		520,939				487,001		424,466	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		-				-		-	
Federal Funds	1,313,380		1,465,086				1,461,020		1,273,412	
RECONCILIATION OF FUNDS/REQUEST										
Long Bill Appropriation	1,579,511	17.0	1,579,511	17.0	1,648,189		1,648,189	17.0	1,648,189	17.0
Supplemental SB09-192										
SB 10-167 False Claims Act										

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
SB 11-76 PERA							-		-	
Dept requested base adjustment										
DPA NP Printing Statewide Warrants and Mainframe Docs										
Classified Salary Survey			34,377				29,830		29,830	
NonClassified Salary Survey			68,097				4,749		4,749	
Classified Perf Pay			17,272				11,456		11,249	
NonClassified Perf Pay			5,647				3,860		3,860	
Health/Life/Dental	80,167		127,128				133,144			
Short Term Disability	1,793		1,528				3,224			
SB 04.257 A.E.D.	35,265		51,132				58,616			
SB 06.235 S.A.E.D.	36,953		46,161				54,953			
Worker's Compensation	3,003		2,895							
Leased Space Allocation	229		229							
Capital Complex Lease Space/CARR	51,946		123,619				-			
Vehicle Lease Allocation	3,487		3,487				-			
IT Asset Maintenance	1,381		1,381				-			
ADP Capital Outlay Allocation										
Communication Service Payments	3,377		2,860				-			
CLE Registration Fees	1,125		1,125				-			
Building Security	5,758		-				-			
Rollforward from Previous FY										
Rollforward to Subsequent FY										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Reappropriated Funds										
Lapsed Appropriation Federal Funds/General	(65,973)	(0.8)	(80,423)	(0.4)						
TOTAL RECONCILIATION	1,738,022	16.2	1,986,026	16.6			1,948,021	17.0	1,697,877	17.0
GRAND TOTAL	1,738,022	16.2	1,986,025	16.6	1,648,189	17.0	1,948,021	17.0	1,697,877	17.0
General Fund	424,643		520,939		412,045		487,001		424,465	
General Fund Exempt	-		-				-		-	
Cash Funds	-		-				-		-	
Reappropriated Funds	-		-				-		-	
Federal Funds	1,313,380		1,465,086		1,236,144		1,461,020		1,273,412	

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law		MEDICAID FRAUD GRANT				
Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
Schedule 3 Total		1,738,022	1,986,025	1,648,189	1,948,021	1,697,877
General Funds		424,643	520,939	412,045	487,001	424,465
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	-	-	-
Reappropriated Funds		-	-	-	-	-
Federal Funds		1,313,380	1,465,086	1,236,144	1,461,020	1,273,412
Federal Funds						
Medicaid Fraud Federal Funds		1,313,380	1,465,086	1,236,144	1,461,020	1,273,412

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
POST Board	2,587,006	5.5	2,871,498	6.0	5,806,806	9.0	5,888,125	9.0	5,996,083	9.0
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	2,587,006		2,871,498		5,806,806		5,888,125		5,996,083	
Reappropriated Funds	-		-		-		-		-	
Federal Funds										

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
I. POSITION DETAIL										
General Professional VI	59,860	0.7	99,105	1.0			105,492	1.0	105,492	1.0
General Professional V										
General Prof IV	58,949	0.9	73,248	1.0			75,528	1.0	75,528	1.0
Compliance Investigator II	66,876	1.0	69,300	1.0			72,312	1.0	72,312	1.0
Assistant Attorney General	6,309		3,623							
Data Specialist									-	
Technician III							43,200	1.0	43,200	1.0
Administrative Asst II	36,000	1.0	37,308	1.0			38,544	1.0	38,544	1.0
Program Assistant II										
Program Assistant I	81,600	2.0	86,604	2.0			190,464	4.0	190,464	4.0
TOTAL POSITION DETAIL	309,594	5.5	369,188	6.0			525,540	9.0	525,540	9.0
(I.A.) CONTINUATION FTE SALARY COSTS										
(Permanent FTE by Position)										
Continuation Salary Subtotal	309,594	5.5	369,188	6.0			525,540	9.0	525,540	9.0
(I.B.) OTHER PERSONAL SERVICES										
PERA on Continuation Subtotal	30,584		36,906				53,342		53,342	
Medicare on Continuation Subtotal	4,373		5,272				7,620		7,620	
Non-Base building performance Award							-		-	
Part Time/Temporary Services	2,457		-				-	0.0	-	
Contractual Services	87,394		42,764				248,575		247,781	
Overtime Payments	-		7,069							
Termination/Retirement Payouts										
Other	-		184							
Leave Payout	-		-				-		-	
Sick Leave Payout	-		-				-		-	
Unemployment Payout	2,724		-				-		-	
SUBTOTAL	127,532		92,195				309,538		308,744	
(I.C.) PERSONAL SERVICES										
SUBTOTAL= A+B	437,126	5.5	461,382	6.0			835,078	9.0	834,284	9.0
(I.D.) POTS EXPENDITURES										
Health/Life Dental	34,404		42,054				48,744			
Salary Survey Non Add			7,915							

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
<i>Performance Awards Non Add</i>			3,039							
Short Term Disability	531		701				1,156			
SB 04.257 A.E.D.	9,707		13,101				21,022			
SB 06.235 S.A.E.D.	8,367		11,832				19,708			
Other	435		99							
[] Indicates a Non-add										
(I.E.) BASE PERSONAL SERVICES TOTAL= C+D	490,570	5.5	529,169	6.0			925,708	9.0	834,284	9.0
(I.F.) DIFFERENCE=II- I.E.									-	
(I.G.) REQUEST YEAR DECISION ITEMS										
General Fund										
Cash Funds										
Reappropriated Funds										
II. PERSONAL SERVICES REQUEST TOTAL	490,570	5.5	529,169	6.0			925,708	9.0	834,284	9.0
General Fund							-		-	
General Fund Exempt										
Cash Funds	490,570		529,169			-	925,708		834,284	
Reappropriated Funds	-		-				-		-	
Federal Funds										

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSES										
2170 Waste Disposal Services	15		-				-		-	
2230 Equipment Contract Maintenance	53		6				1,250		1,250	
2231 ADP Equip Maint/Repair Services	2,294		1,477						-	
2232 Software Upgrades	6,481		5,178				-		-	
2240 Motor Veh Maint/Repair Svcs	-		-						-	
2250 Misc Rentals	-		-						-	
2251 Lease Motor Pool Vehicle	2,417		2,321						-	
2252 Motor Pool Mileage Charge	2,560		2,802				4,412		4,412	
2253 Equipment Rental	-		-						-	
2254 Rental of Motor Vehicles	88		100						-	
2255 Rental of Buildings	21,382		-							
2258 Parking Fees	1,320		1,620						-	
2259 Parking Fee Reimbursement	310		204				400		400	
2268 Rental of IT Software Network			652							
2510 In State Travel	180		165						-	
2512 IS Personal Travel Per Diem	2,191		2,552				4,823		4,823	
2513 IS Personal Vehicle Reimbursement	95		130				-		-	
2514 State Owned Aircraft	-		-						-	
2520 IS Travel/Non Employee	53		80						-	
2522 IS/Non-Emp - Pers Per Diem	2,381		1,676				3,256		3,256	
2523 IS/Non-Emp - Pers Veh Reimb	2,524		4,027				2,625		2,625	
2530 Out of State Travel	36		170				150		150	
2531 OS Common Carrier Fares	776		840				500		500	
2532 OS Personal Travel Per Diem	838		674				225		225	
2533 OS Personal Vehicle Reimbursement	-		-						-	
2540 OS Travel - Non Emp	-		972							
2541 OS Non Emp - Comm Carrier	-		1,350							
2542 OS/Non Employee Pers Per Diem	-		656						-	
2543 OS/Non Employee Pers Vehi Reimb			96							
2550 Out of Country Travel	-		-						-	
2552 OC Pers Travel Reimbursement	-		-						-	
2630 Telephone	1,721		-				4,214		4,214	
2631 Comm Svcs from Outside Sources	455		280				275		275	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
2641 Other ADP Billings - Purchase Services	-		150,189				215		215	
2650 OIT Purchased Services	-		-							
2660 Insurance	1,230		1,175				-		-	
2680 Contract Printing	6,138		7,004				95,800		95,800	
2681 Photocopy Reimbursement	-		-						-	
2690 Other Pur Services - Legal	15,455		12,230				18,500		18,500	
2810 Freight & Storage	-		-						-	
2820 Other Purchased Services	-		1,375						-	
2830 Office Moving/Purchased Services	-		-						-	
2831 Storage - Purch Svcs	21		-							
3110 Other Supplies and Materials	-		212						-	
3112 Automotive Supplies	-		-						-	
3113 Clothing & Uniform Allowance	1,523		-						-	
3114 Custodial	-		-						-	
3115 DP Supplies	-		55				189		189	
3116 Purchase/Leased Software	139		3,405				-		-	
3117 Educational	5		14				75		75	
3120 Books & Subscriptions	178		302				450		450	
3121 Office Supplies	2,992		2,876				4,360		4,360	
3122 Microfilming/Photo. Supplies	97		25				39		39	
3123 Postage	4,976		3,270				4,250		4,250	
3124 Printing	174		-						-	
3126 Repair & Maintenance/Supplies	-		-						-	
3128 Non-Capitalized Equipment	1,276		232						-	
3131 Non-Capitalized Building Materials	-		39						-	
3132 Non-Capitalized Furn/Office Systems	599		142						-	
3140 Non-Capitalized IT PC's	2,488		787				-		-	
3141 Non-Capitalized IT - Servers	-		101				-		-	
3142 Non-Capitalized IT - Network	19		62						-	
3143 Non-Capitalized IT Other	5,768		18,936				-		-	
3146 Non-Capitalized IT Purchased Server	-		-				-		-	
4100 Other Operating Expenses	-		-						-	
4111 Prizes and Awards	-		-						-	
4140 Dues & Memberships	450		1,552				1,750		1,750	
4151 Interest Late Payments	-		5						-	
4170 Miscellaneous Fees	-		-						-	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
4180 Official Functions	966		2,304				2,544		2,544	
4220 Registration Fees	527		955						-	
5110 Grants to Cities	-		-						-	
5120 Grants to Counties	-		-						-	
5520 Distributions Counties	2,925		4,450							
5776 State Grant - Interfund	28,809		12,256				18,040		18,040	
5781 Grants to NonGov/Organizations	1,836,496		2,043,232				4,794,076		4,993,458	
5881 Grants to NonGov/Organ	97,003		-							
6140 Buildings and Improves. to Bldg.	-		-						-	
6210 Other Capital Equipment	-		-						-	
6212 IT PC SW Direct Purchase	190		905				-		-	
6213 IT Servers Direct Purchase	-		-				-		-	
6214 IT Other Direct Purchase	12,164		-							
6216 IT Server SW == Direct Purchase	959		-							
6220 Office Furn & Equip	-		-						-	
6222 Office Furniture Direct Purchase	24,703		-							
6280 Other Capital Equipment (direct purchase)	-		-						-	
6410 ADP Equipment-Lease Purchase	-		-						-	
7520 Intra Fund Transfer-Other	-		-						-	
ABJE OT RE Law to Judicial			46,211							
Operating Expense Subtotal:	2,096,437		2,342,329				4,962,418		5,161,800	
OPERATING EXPENSE TOTAL:	2,096,437		2,342,329				4,962,418		5,161,800	
General Fund									-	
General Funds Exempt										
Cash Funds	2,096,437		2,342,329				4,962,418		5,161,800	
Reappropriated Funds										
Potted Operating Expenses										
Workers' Compensation							-			
Leased Vehicle Expense							-			
Capital Complex Lease Space							-			
Leased Space							-			
IT Asset Maintenance							-			
ADP Capital Outlay							-			
Building Security							-			
Total							-			
General Fund							-			
Cash Funds							-			

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Reappropriated Funds										
FY DECISION ITEM REQUEST										
General Fund							-		-	
Cash Funds							-		-	
TOTAL POST BOARD	2,587,006	5.5	2,871,498	6.0	3,073,274	9.0	5,888,125	9.0	5,996,083	9.0
General Fund							-		-	
General Fund Exempt	-		-				-		-	
Cash Funds	2,587,006		2,871,498		3,073,274		5,888,125		5,996,083	
Reappropriated Funds							-		-	
Federal Funds										
RECONCILIATION OF FUNDS/REQUEST										
Long Bill Appropriation	2,683,620	7.0	2,966,320	7.0	3,073,274		3,073,274	9.0	3,073,274	7.0
SB 13-283			20,000							
HB 13-1317 Recommendations for Retail Marijuana			76,000							
Grant Funding Elder Abuse			7,500							
SB 14-123					1,565,532	1.0	1,565,532	1.0	1,565,532	1.0
SB 14-215					1,168,000	1.0	1,168,000	1.0	1,168,000	1.0
SB 14-215								0.0		
Salary Survey-Classified									-	
PBP - Classified									-	
SB 4-215 Annualization							-		189,277	
PERA change back to 10.15%										
<i>DPA NP Printing Statewide Warrants and Mainframe Docs</i>										
<i>Special Bill - HB08-1397</i>										
<i>Supplemental HB 10-1305</i>										
Merit Pay			3,039				-		-	
Salary Survey			7,915				-		-	
Health/Life/Dental	46,496		20,946				41,824			
Short Term Disability	639		303				814			
SB 04.257 A.E.D.	13,414		2,408				21,803			
SB 06.235 S.A.E.D.	11,527		982				16,878			
Workers Compensation	1,237		1,192				-			
Capital Complex Lease Space/CARR BLDG	11,390		-				-			
Leased Space Allocation										
Vehicle Lease Allocation	2,477		2,477				-			

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE						
Building Security	2,371		-							
IT Asset Maintenance	9,082		-				-			
ADP Capital Outlay Allocation							-			
Rollforward from Previous FY							-			
Rollforward to Subsequent FY										
Overexpenditure/(Reversion) - GF										
Lapsed Appropriation Cash Fund	(195,247)	(1.5)	(237,584)	(1.0)						
Lapsed Appropriation Cash Fund Exempt										
TOTAL RECONCILIATION	2,587,006	5.5	2,871,498	6.0			5,888,125	11.0	5,996,083	9.0
GRAND TOTAL	2,587,006	5.5	2,871,498	6.0	5,806,806	9.0	5,888,125	9.0	5,996,083	9.0
General Fund					-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	2,587,006		2,871,498		5,806,806		5,888,125		5,996,083	
Reappropriated Funds	-		-				-		-	
Federal Funds	-		-				-		-	

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
Schedule 3 Total		2,587,006	2,871,498	5,806,806	5,888,125	5,996,083
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		2,587,006	2,871,498	5,806,806	5,888,125	5,996,083
Reappropriated Funds		-	-	-	-	-
Cash Funds	296					
POST Board Fund		2,587,006	2,871,498	4,638,806	4,720,125	4,828,083
Marijuana Cash Fund				1,168,000	1,168,000	1,168,000
Reappropriated Funds	296					
POST Board Fund Reserve		-	-	-	-	-

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

Safe2Tell

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Safe2Tell	109,781	1.0	119,724	1.0	386,805	3.5	407,634	3.5	398,536	4.0
General Fund	109,781		119,724		371,805		392,634		383,536	
General Fund Exempt	-		-		-		-		-	
Cash Funds	-		-		15,000		15,000		15,000	
Reappropriated Funds	0		0		0		-		0	
Federal Funds	-		-		-		-		-	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Safe2Tell

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
I. POSITION DETAIL										
General Professional III							88,000	1.7	105,600	2.0
General Professional VI	93,384	1.0	92,468	1.0			96,300	1.0	96,300	1.0
Admin Asst III							35,000	0.8	42,000	1.0
TOTAL POSITION DETAIL		-	92,468	1.0			219,300	3.5	243,900	4.0
(I.A.) CONTINUATION FTE SALARY COSTS	93,384	1.0	92,468	1.0			219,300	3.5	243,900	4.0
(Permanent FTE by position)										
Continuation Salary Subtotal										
(I.B.) OTHER PERSONAL SERVICES										
PERA on Continuation Subtotal	9,712		9,456				22,259		24,756	
Medicare on Continuation Subtotal	1,355		1,351				3,180		3,537	
Non-Base Building Performance Awards	-		-							
Contractual	-		-				70,574		72,548	
Other	-		367							
SUBTOTAL	11,067		11,173				96,013		100,840	
(I.C.) PERSONAL SERVICES SUBTOTAL= A+B	104,451	1.0	103,641	1.0			315,313	3.5	344,740	4.0
(I.D.) POTS EXPENDITURES										
Health/Life Dental	110		9,369				27,010			
Salary Survey Non Add			5,226				-			
Performance Awards Non Add			1,559				-			
Short Term Disability	162		181				388			
SB 04.257 A.E.D.	2,724		3,323				8,772			
SB 06.235 S.A.E.D.	2,335		2,989				8,224			
Other										
[] Indicates a Non-add										
(I.E.) BASE PERSONAL SERVICES TOTAL= C+D	109,781	1.0	119,502	1.0			359,707	3.5	344,740	4.0
(I.F.) DIFFERENCE= II- I.E.										
(I.G.) REQUEST YEAR DECISION ITEMS										

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Safe2Tell

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
General Fund										
Cash Funds										
Reappropriated Funds										
II. PERSONAL SERVICES REQUEST TOTAL	109,781	1.0	119,502	1.0			359,707	3.5	344,740	4.0
General Fund	109,781		119,502				359,707		344,740	
Cash Funds										
Reappropriated Funds									-	
Federal Funds									-	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Safe2Tell

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
OPERATING EXPENSES										
2230 Equipment Maintenance/Repair Svcs	-		-				-			
2231 ADP Equip Maint/Repair Services	-		125				-			
2232 Software Upgrades	-		-				0			
2252 Motor Pool Mileage Charge	-		-				2,544		2,544	
2258 Parking Fees	-		-				1,620		1,620	
2510 In State Travel							7,200		11,507	
2512 IS Personal Travel Per Diem	-		-				3,255		7,255	
2513 IS Personal Vehicle Reimbursement	-		-							
2532 OS Personal Travel Per Diem	-		-							
2630 Telephone	-		-				1,800		1,800	
2631 Comm Svcs from Outside Sources	-		-				1,920		1,920	
2641 Other ADP Billings - Purchase Services	-		-							
2660 Insurance	-		-							
2680 Contract Printing	-		-				15,000		20,000	
3115 Data Processing Supplies	-		-							
3116 Purchase/Leased Software	-		-							
3117 Educational	-		-							
3120 Books & Subscriptions	-		-							
3121 Office Supplies	-		97				2,000		3,150	
3122 Microfilming/Photo. Supplies	-		-							
3123 Postage	-		-				2,500		2,500	
3124 Printing	-		-							
3140 Non-Capitalized IT - PCs	-		-				5,000			
3141 Non-Capitalized IT - Servers	-		-							
3143 Non-Capitalized IT - Other	-		-							
3146 Non-Capitalized IT Purchased Server SW	-		-							
4140 Dues & Memberships	-		-							
4220 Registration Fees	-		-				1,500		1,500	
6222 Office Furniture Direct Purchase	-		-				3,588			
	-		-							
Operating Expense Subtotal:	-		222				47,927	-	53,796	
OPERATING EXPENSE TOTAL:	-		222				47,927		53,796	
General Fund							32,927		38,796	
Cash Funds	-		222				15,000		15,000	

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Safe2Tell

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Potted Operating Expenses										
TOTAL SAFE2TELL	109,781	1.0	119,724	1.0	107,471	1.0	407,634	3.5	398,536	4.0
General Fund					107,471		392,634		383,536	
Cash Funds					-		15,000		15,000	
									-	
RECONCILIATION OF FUNDS/REQUEST										
Long Bill Appropriation	100,686		100,686		107,471	1.0	107,471	1.0	107,471	1.0
Safe2Tell Decision Item										
SB 14-002 GF					264,334	2.5	264,334	2.5	273,529	3.0
SB 14-002 CF					15,000		15,000		15,000	
Salary Survey Classified			5,226				2,536		2,536	
Merit Classified			1,559				0		-	
Health/Life/Dental	3,741		10,210				10,420			
Short Term Disability	138		170				419			
SB 04.257 A.E.D.	2,957		3,474				4,976			
SB 06.235 S.A.E.D.	2,529		3,136				2,478			
Capital Complex Lease Space							-			
Workers Compensation							-			
Vehicle Lease Allocation										
IT Asset Maintenance										
ADP Capital Outlay Allocation										
Building Security							-			
Rollforward from Previous FY year Restriction										
Overexpenditure/(Reversion) - GF	(270)		(4,737)							
Lapsed Appropriation Reappropriated Funds										
Lapsed Appropriation Federal Funds										
TOTAL RECONCILIATION	109,781	1.0	119,724	1.0			407,634	1.0	398,536	4.0
GRAND TOTAL	109,781	1.0	119,724	1.0	386,805	3.5	407,634	3.5	398,536	4.0
General Fund	109,781		119,724		371,805		392,634		383,536	
General Fund Exempt	-									
Cash Funds	-				15,000		15,000		15,000	
Reappropriated Funds	-		-		-		-		-	
Federal Funds							-			

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

Safe2Tell

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2015
Schedule 3 Total		109,781	119,724	386,805	407,634	398,536
General Funds		109,781	119,724	371,805	392,634	383,536
General Funds Exempt		-	-	-	-	-
Cash Funds		-	-	15,000	15,000	15,000
Reappropriated Funds		-	-	-		-
Federal Funds		-	-	-		-
Cash funds						
Safe2Tell Cash Fund		-	-	15,000	15,000	15,000
Federal Funds						
		-	-		-	-

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE	Total Funds	FTE
Criminal Justice & Appellate Indirect	443,705		520,638		503,848		503,848		484,725	
General Fund	-		-		-		-		-	
General Fund Exempt	-		-		-		-		-	
Cash Funds	215,830		263,029		257,145		257,145		259,077	
Reappropriated Funds	73,184		82,780		80,929		80,929		74,022	
Federal Funds	154,691		174,829		165,774		165,774		151,626	

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE

Item	Actual FY 13		Actual FY 14		Approp FY 15		Estimate FY 15		Request FY 16	
	Total Funds	FTE								
Criminal Justice & Appellate Indirect	443,705		520,638		503,848		503,848		484,725	
General Fund							-		-	
General Fund Exempt							-		-	
Cash Funds	215,830		263,029		257,145		257,145		259,077	
Reappropriated Funds	73,184		82,780		80,929		80,929		74,022	
Federal Funds	154,691		174,829		165,774		165,774		151,626	
RECONCILIATION OF FUNDS										
Long Bill Appropriation Supplemental	446,544		515,376							
Rollforward from Previous FY										
Rollforward to Subsequent FY										
Lapsed Appropriation Cash Funds	-		0							
Lapsed Appropriation Federal Funds	-		5,262							
Lapsed Appropriation Reappropriated Funds	(2,839)		0							
TOTAL RECONCILIATION	443,705		520,638							

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE

Item	Fund Number	Actual	Actual	Approp	Estimate	Request
		FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
Schedule 3 Total		443,705	520,638	503,848	503,848	484,725
General Funds		-	-	-	-	-
General Funds Exempt		-	-	-	-	-
Cash Funds		215,830	263,029	257,145	257,145	259,077
Reappropriated Funds		73,184	82,780	80,929	80,929	74,022
Federal Funds		154,691	174,829	165,774	165,774	151,626
Cash Funds		215,830	263,029	257,145	257,145	259,077
State Compensation Insurance Authority		-	-	-	-	-
POST Board Cash Fund		86,828	93,462	91,371	91,371	107,451
Insurance Fraud Cash Fund		129,002	169,567	165,774	165,774	151,626
Reappropriated Funds		73,184	82,780	80,929	80,929	74,022
DORA Division of Insurance Cash Fund		-	-	-	-	-
DORA Division of Securities		73,184	82,780	80,929	80,929	74,022
Federal Funds						
Medicaid Federal Grant		154,691	174,829	165,774	165,774	151,626